



FISCAL UPDATE

ESS Program Management

August 17, 2023

Agenda

- FY24 IDEA Part B Applications
- FY23 IDEA Completion Reports
- Maintenance of Effort
- ESS Claims
- Resources

FY24 IDEA Part B Applications

IDEA Part B Funds in Arizona

Section 611-Subgrants to LEAs

Serves students ages 3-21

Section 611 of the IDEA (formerly called the IDEA Basic grant)

Approximately \$194 million in FY24
Preliminary award

634 public education agencies

Section 619-Preschool Subgrants to LEAs

Serves students ages 3-5, including Kindergarten

Section 619 of the IDEA (formerly called the IDEA Preschool grant)

Approximately \$4.2 million in FY24
Preliminary award

438 public education agencies

FY24 Allocations Workbook

2024 IDEA Preliminary Updated on: 2/7/23 Contact essprogmgmt@azed.gov for questions.

Entity ID	CTDS	PEA Name	Section 611 Allocation	Section 611 Proportionate Share Obligation (How much has to be spent on Parentally-Placed Private School Students, K-12th grade)	Section 619 Allocation	Section 619 Proportionate Share Obligation (How much has to be spent on Parentally-Placed Private School Students, Kindergarten ages 3-5)	Maximum Amount that can be used for CEIS
1000166	118720000	A+ Charter Schools	\$ 33,813.93	\$ -	\$ -	\$ -	5,072.09
90199	108734000	Academy Del Sol, Inc.	\$ 100,126.09	\$ -	\$ 674.12	\$ -	15,120.03
85540	088704000	Academy of Building Industries, Inc.	\$ 18,569.71	\$ -	\$ -	\$ -	2,785.46
90878	078242000	Academy of Mathematics and Science South, I	\$ 956,962.54	\$ -	\$ 6,217.21	\$ -	144,476.96
79961	108713000	Academy of Mathematics and Science, Inc.	\$ 116,128.55	\$ -	\$ 620.30	\$ -	17,512.33
92768	078270000	Academy of Mathematics and Science, Inc.	\$ 165,808.86	\$ -	\$ 2,389.30	\$ -	25,229.72
78897	108665000	Academy of Tucson, Inc.	\$ 68,737.75	\$ -	\$ 1,048.44	\$ -	10,467.93
79213	078794000	Academy with Community Partners Inc	\$ 28,389.70	\$ -	\$ -	\$ -	4,258.46
4325	078701000	Acclaim Charter School	\$ 45,780.38	\$ -	\$ 422.44	\$ -	6,930.42
79437	138760000	Acorn Montessori Charter School	\$ 66,636.32	\$ -	\$ 1,574.97	\$ -	10,231.69
4289	070516000	Agua Fria Union High School District	\$ 1,378,889.78	\$ 16,926.88	\$ -	\$ -	206,833.47
4249	070363000	Aguila Elementary District	\$ 31,388.43	\$ -	\$ 351.07	\$ -	4,760.93
79053	078793000	AIBT Non-Profit Charter High School - Phoenix	\$ 10,605.30	\$ -	\$ -	\$ -	1,590.80
449790	078286000	AIBT Non-Profit Charter High School, Inc.	\$ 1,642.59	\$ -	\$ -	\$ -	246.39
4409	100215000	Ajo Unified District	\$ 74,299.61	\$ -	\$ 1,556.17	\$ -	11,378.37
5978	118705000	Akimel O Otham Pee Posh Charter School, Inc.	\$ 6,988.77	\$ -	\$ 1,507.25	\$ -	1,274.40
78966	118706000	Akimel O'Otham Pee Posh Charter School, Inc.	\$ 6,066.79	\$ -	\$ -	\$ -	910.02
4280	070468000	Alhambra Elementary District	\$ 2,158,772.82	\$ 13,927.57	\$ 58,613.62	\$ -	332,607.97
4161	010307000	Alpine Elementary District	\$ 10,486.80	\$ -	\$ 496.27	\$ -	1,647.46
4418	100351000	Altamonte Valley Elementary District	\$ 194,136.46	\$ -	\$ 3,314.25	\$ -	29,617.61
80995	108794000	American Charter Schools Foundation d.b.a. A	\$ 90,078.71	\$ -	\$ -	\$ -	13,511.81
79883	118703000	American Charter Schools Foundation d.b.a. A	\$ 32,105.91	\$ -	\$ -	\$ -	4,815.89
79874	078950000	American Charter Schools Foundation d.b.a. C	\$ 52,568.77	\$ -	\$ -	\$ -	7,885.32

PEAs can download the [2024 Allocations Workbook](#) from the [Program Management webpage](#).

FY24 IDEA Part B Applications – Status Update

Status	Number of Applications
Not Started	5
Pending with PEA	40
Pending with SEA Program Specialist	8
Pending with SEA Director	45
SEA Director Approved	536
Total	634

General Provisions Act (GEPA)

1. Describe how your entity's existing mission, policies, or commitments ensure equitable access to, and equitable participation in, the proposed project or activity.

2. Based on your proposed project or activity, what barriers may impede equitable access and participation of students, educators, or other beneficiaries?

3. Based on the barriers identified, what steps will you take to address such barriers to equitable access and participation in the proposed project or activity?

New GEPA Requirement in FY24 Applications as of July 1, 2023 (7/3/2023)



GEPA

As of July 1, 2023 all federal grant funding applications in the GME system will include a section to which will satisfy the requirements of Section 427 of the General Provisions Act (GEPA) ([20 U.S.C. 1228a](#)).

Section 427 requires each applicant for funds to include in its application a **description of the steps the applicant proposes to take to remove barriers and to ensure equitable access to**, and participation in, its Federally-assisted program for students, teachers, and other program beneficiaries.

What you need to know for FY24:

- The GEPA page will **only** be visible once the application is in Revision status
- The page will be found in the section titled **General Education Provisions Act (GEPA)**.
 - o Will **not** impact original applications
 - o **Required with first revision**
 - o A validation error will prevent application status from being changed to CR Draft started if the GEPA page is not completed.
 - There is **not** a minimum word count for a GEPA statement

What you need to know for FY25:

- The GEPA page will be part of the **Original** application and will be **required on initial funding application submission**

For assistance within the GME system, please contact Grants Management at 602.542.3901, or submit a service request online at <https://helpdeskexternal.azed.gov/>

4. What is your timeline, including targeted milestones, for addressing these identified barriers?

PEAs must respond to the GEPA form on the first revision of IDEA Part B.

Check the History Log for Required Spending

The 96 public school districts with proportionate share obligations can review the 2024 obligation on the History Log of the IDEA Part B application.

Public school districts with proportionate share carryover obligations will see updated FY24 HL comments after ADE Grants Management approves the FY23 completion reports.

8 PEAs that were flagged for Significant Disproportionality/Significant Discrepancy (SD) in FY23 and chose to spend the Comprehensive Coordinated Early Intervening Services (CCEIS) funds in FY24 can also review their obligations in the History Log of the IDEA Part B application.

Next Steps for FY24 IDEA Part B Funding Applications

- Review the History Log for any edits required by SEA Directors and submit the revisions by 9/1
- Review budget narratives for TBD statements for Educator IDs and placeholders for indirect cost rates
- Complete GEPA forms for 611 or 619 grants upon first revision
- Submit reimbursement requests for the first quarter



FY23 IDEA and ARP- IDEA Completion Reports



Expiring Funds in the FY23 IDEA Grants

Grant	Amount
IDEA Basic	\$4,778,641.75
IDEA Preschool	\$425,003.60
ARP-IDEA Basic	\$11,647,315.61
ARP-IDEA Preschool	\$1,008,237.55
Total	\$17,859,198.51

The 2022 IDEA and ARP-IDEA grant funds expire on 9/30/2023. PEAs with incoming carryover must review the project summary page in the GME.

ARP-IDEA Basic

Funding Sources			
Grant - Award Year	ARPIDEABASIC - 2023	ARPIDEABASIC - 2022	Total
Award Number	H027X210007	H027X210007	
Award Date	07/01/2022 - 12/29/2023	07/01/2021 - 09/30/2023	
Original	\$0.00	\$0.00	\$0.00
Incoming Carryover	\$0.00	\$1,176,907.89	\$1,176,907.89
Outgoing Carryover	\$0.00	\$0.00	\$0.00
Reallocated	\$0.00	\$0.00	\$0.00
Additional	\$0.00	\$0.00	\$0.00
Incoming Interest Carryover	\$0.00	\$0.00	\$0.00
Released	\$0.00	\$0.00	\$0.00
Consortium	\$0.00	\$0.00	\$0.00
Forfeited	\$0.00	\$0.00	\$0.00
CR Released	\$0.00	\$0.00	\$0.00
Total Allocation	\$0.00	\$1,176,907.89	\$1,176,907.89

Fiscal Transactions		
Type	Date	Transaction Amount
Reimbursement Request	3/2/2023	\$1,086,163.87
Reimbursement Request	5/24/2023	\$3,442.50
Total Paid		\$1,089,606.37

This PEA has just over 8% of the ARP-IDEA Basic remaining to draw down through reimbursement requests or the completion report.

IDEA Basic

Funding Sources				
Grant - Award Year	IDEA Basic - 2023	IDEA Basic - 2022	IDEA Basic - 2021	Total
Award Number	H027A210007	H027A210007	H027A200007	
Award Date	07/01/2022 - 09/30/2024	07/01/2021 - 09/30/2023	07/01/2020 - 09/30/2022	
Original	\$1,122,908.95	\$0.00	\$0.00	\$1,122,908.95
Incoming Carryover	\$0.00	\$860,782.62	\$0.00	\$860,782.62
Outgoing Carryover	\$0.00	\$0.00	\$0.00	\$0.00
Reallocated	\$0.00	\$0.00	\$0.00	\$0.00
Additional	\$0.00	\$0.00	\$0.00	\$0.00
Incoming Interest Carryover	\$0.00	\$0.00	\$0.00	\$0.00
Released	\$0.00	\$0.00	\$0.00	\$0.00
Consortium	\$0.00	\$0.00	\$0.00	\$0.00
Forfeited	\$0.00	\$0.00	\$0.00	\$0.00
CR Released	\$0.00	\$0.00	\$0.00	\$0.00
Total Allocation	\$1,122,908.95	\$860,782.62	\$0.00	\$1,983,691.57
Fiscal Transactions				
Type	Date	Transaction Amount		
Reimbursement Request	11/2/2022	\$140,427.80		
Reimbursement Request	3/4/2023	\$249,520.88		
Reimbursement Request	3/12/2023	\$9,485.78		
Reimbursement Request	3/31/2023	\$41,152.17		
Reimbursement Request	4/21/2023	\$46,086.73		
Reimbursement Request	5/10/2023	\$22,387.40		
Total Paid		\$509,060.76		

This district has over 57% of incoming carryover funds expiring on 9/30/2023.

Review Budget Narratives and Capital Outlay Worksheets

Budgets should align with year-to-date spending.

- Submit a revision prior to 9/30/2023 if the scope of work has changed.
- Districts must review the capital outlay worksheet and revise as needed
- Look for budget narratives with “TBD” or placeholders and revise before initiating the completion report.

Completion Report Program Details

All PEAs must respond to questions about how funds were used.

PEAs that reserved funds for Coordinated Early Intervening Services in the past two fiscal years (2021 and 2022) must respond to the CEIS section.

All public school districts must respond to the questions about proportionate share. Any unspent proportionate share funds must be accounted for through forfeit or carry over to the next grant cycle.

ESS Claims

High-Cost Child and Extraordinary Special Education Needs Fund

A school district or charter school is eligible to apply for an ESS Claim if it demonstrates that a student receiving special education services has incurred costs in the current year that are equal to or greater than three times the per-pupil funding average.

A student whose costs exceed \$27,483 per year would meet the minimum threshold to be considered for HCC or ESEN funding.

\$152.68 per day for 180-day calendar, approximately \$2,748 per month.

FY24 High-Cost Child Claims

PEAs will be limited to submit only one claim per round.

PEAs will be limited to approximately \$75,000 in awarded claims depending on available funds.

	2022	2023
# of PEAs	58	67
# of Claims Approved	145	143
Amount Approved	\$3,633,076	\$3,415,817

HCC Submission Dates

Round for Funding Approval	Submission Deadline
1 st	10/27/2023
2 nd	01/26/2024
3 rd	03/29/2024
4 th	05/31/2024

The HCC Claims are competitive funding applications.

PEAs shall not exceed \$75,000 for claims approved.

PEAs should submit no more than 4 HCC Claims in FY24.

FY24 ESEN Claims

PEAs will be limited to submitting six claims per round.

PEAs will be limited to approximately \$125,000 in awarded claims depending on available funds.

PEAs must deduct state aid from requested amount.

	2022	2023
PEAs	25	18
Claims Approved	99	69
Amount approved	\$1,599,172	\$808,027

ESEN Submission Dates

Round for Funding Approval	Submission Deadline
1 st	09/30/2023
2 nd	11/30/2023
3 rd	02/29/2024
4 th	05/31/2023

The ESEN Claims are competitive funding applications.

PEAs shall not exceed \$125,000 for claims approved.

PEAs should submit no more than 24 ESEN Claims in FY24.

Webinar for ESS Claims

ESS Claims - [September 12, 2023](#)

- Join the ESS Program Management team to learn about additional state and federal funding opportunities for high-cost students in your public education agency. The Extraordinary Special Education Needs Fund and IDEA High-Cost Child Claims can be accessed through the ESS Portal of ADEConnect.



Maintenance of Effort and the 2023 Annual Financial Report



IDEA Maintenance of Effort Eligibility

Status	Number of PEAs
Closed Met	580
Closed Met with Exceptions	15
Closed Not Met	6
Pending Not Met	9
Total	610

Review MOE Compliance Thresholds in the MOE Application

Enter the MOE application.

Choose LEA Activities → Eligibility.

IDEA MAINTENANCE OF EFFORT
EXCEPTIONAL STUDENT SERVICES

Home LEA Activities Help Welcome, ESS LEAGroup ESS!

Eligibility
Compliance
LEA Submission Details

The **FY2024 MOE Eligibility Testing** window will be open from July 18 - August 18, 2023. PEAs that have met the eligibility standard will be closed by the ESS Program Management team to allow processing of the FY2024 IDEA Part B Consolidated Grants.

The MOE Eligibility testing data reflects the State & Local funds reported on your 2024 Adopted Budget and the estimated SPED count from the SPED20 report from AzEDS. These values are compared against the MOE Compliance standards established in the FY23 MOE Compliance test in March 2023. To pass the MOE Eligibility test, only one of the four available methodologies must meet or exceed your comparison year's compliance value, also known as the compliance standard.

If your PEA has failed the MOE Eligibility test, please review your test results by clicking on LEA Activities → Eligibility. Then, choose No for Local Only funds and confirm your choice.

PEAs that have failed both method 2 and method 4 may resolve the MOE Eligibility case by:

- Submitting allowable exceptions through the MOE Application, or
- Submitting a revised budget through the ADE Budget

Comparison Year Value

Note the comparison year for methods 2 and 4.

Method 2 is the M&O overall expenditure for All Disability Classifications, and IEP-required transportation

Method 4 is the per-pupil expenditure. Click on the difference amount to view student counts.

Use this information on the [Budget Planning Tool](#).

Eligibility Test Results



Closed (Met)

In order to meet the MOE eligibility requirement in this fiscal year the district/charter must budget, at least the same total or per capita amount, of local funds or state and local funds, as it spent during the most recent year for which information is available.

	Overall	Method 1 Local Cost	Method 2 2022 State and Local Cost	Method 3 Local Per Capita Cost	Method 4 2022 State and Local Per Capita Cost
2024 Amount		N/A	\$10,322,146.00	N/A	\$10,174.55
Comparison Year Amount		N/A	\$9,011,365.00	N/A	\$9,543.53
Difference		N/A	\$1,310,781.00	N/A	\$631.02
2024 SPED Count				N/A	x 1,014.5065
Total Difference		N/A	\$1,310,781.00	N/A	\$640,172.39
Status	Met	N/A	Met	N/A	Met

IDEA Fund Eligibility Method 4



Closed (Met)

Method 4: Current Year State and Local Budgeted Amounts Per Capita Compared to the Per Capita Amounts from the Last Year Compliance Method 4 is available.

Fiscal Year	State and Local Cost	SPED Count	State and Local Per Capita Cost
2024	\$10,322,146.00	1014.5065 (Estimated from FY2023 SPED20)	\$10,174.55
2022	\$9,011,365.00	944.2381	\$9,543.53
Difference			\$631.02
Status			Met

Difference must be \$0.00 or greater to have met this MOE Test

Budget Planning Tool

State M&O Budget Value should reflect your most recent comparison year value for method 2 or method 4 as established on the FY23 IDEA Maintenance of Effort Compliance test, March 2023.				
FY23 MOE Compliance Method 2 Value:	\$ 9,011,365.00			
FY23 MOE Compliance Method 4 Value:	\$ 9,453.53	x SPED20 Count for FY23	1014.5065 =	\$ 9,590,667.63
MOE Compliance Standard	\$ 9,011,365.00			

AFR Values

Districts will report M&O expenses for Maintenance of Effort on page 8* of the 2023 AFR on lines 1 and 10.

Charters will report M&O expenses for Maintenance of Effort on page 7* of the 2023 AFR on lines 1 and 9.

*pending publication of FY2023 AFR packages from [AZ Auditor General](#)

B. M&O SPECIAL EDUCATION PROGRAMS BY TYPE (A.R.S. § 15-761)			
	PROGRAM 200 & 300 BUDGET	PROGRAM 200 & 300 ACTUAL	
1. Total All Disability Classifications	8,865,375	8,139,674	1.
2. Gifted Education	5,000	6,946	2.
3. Remedial Education	0	0	3.
4. ELL Incremental Costs	0	0	4.
5. ELL Compensatory Instruction	0	0	5.
6. Vocational and Technological Education (non-CTED)	0	0	6.
7. Career Education	0	0	7.
8. Career Technical Education (CTED programs in 300 range)	3,061,347	3,070,268	8.
9. Total (lines 1-8)	11,931,722	11,216,888	9.
10. IEP required pupil transportation costs coded within Program 400	865,267	871,691	10.

Office Hours to Discuss MOE Concerns

Come to the September 19 Office Hours for a refresher on Maintenance of Effort Compliance requirements for districts and charters.

for the compliance report season.

ESS Office Hours

ESS Program Management will also host Office Hours on Tuesday afternoons at 1:00 p.m. PEAs are invited to attend these informal Zoom meetings to bring questions directly to their program specialist.

- [September 19, 2023](#)
- [October 17, 2023](#)
- [November 24, 2023](#)
- [December 12, 2023](#)
- [January 16, 2024](#)
- [February 20, 2024](#)
- [March 19, 2024](#)
- [April 16, 2024](#)
- [May 21, 2024](#)

Help us plan for upcoming webinars, modules, and videos by filling out this [training survey](#).

Resources

ESS Program Management Webinars

September 12: [ESS Claims](#)

October 3: [Proportionate Share and the October 1 Data Collection](#)

January 9: [Allowable Costs Under the IDEA](#)

February 13: [FY25 IDEA Funding Application](#)

March 1: [Maintenance of Effort](#)

April 9: [How, When, and What Order to Spend?](#)

May 7: [Fiscal Year Wrap-Up](#)

Program Management Office Hours

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Grants Management Fall Forum

ADE Grants Management is excited to share the [Fall Forum agenda and breakout sessions!](#)

The Fall Forum is designed to provide PEA grants professional key information on a wide range of grant topics. [Space is limited so register today](#) and be sure to also check out the [Fall Forum webpage](#).

A graphic with a light blue background. At the top, the word "GRANTS" is written in large, bold, white letters, each letter inside a colored circle (G: orange, R: purple, A: blue, N: orange, T: purple, S: blue). Below this, the tagline "GAINING RESOURCES AND NECESSARY TECHNICAL SUPPORT" is written in small, dark blue, all-caps letters. The main text reads "JOIN US FOR A MULTIDAY, COMPREHENSIVE, IN-PERSON GRANTS TRAINING EVENT" in bold, dark blue, all-caps letters. At the bottom, two bullet points are listed: "GME Sandbox: September 25, 2023" and "Fall Forum: September 26-27, 2023", each preceded by a small paperclip icon. To the right of the text are illustrations of a stack of sticky notes, two pencils (one orange, one blue), and a pink mug with steam rising from it. Small dark blue dots are scattered throughout the background.

GRANTS
GAINING RESOURCES AND NECESSARY TECHNICAL SUPPORT

**JOIN US FOR A MULTIDAY,
COMPREHENSIVE, IN-PERSON
GRANTS TRAINING EVENT**

- GME Sandbox: September 25, 2023
- Fall Forum: September 26-27, 2023

Contact Us

ESS Program Management:
www.azed.gov/specialeducation/operations

ESS Program Management
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