

ESSER (I, II, III) Funds USED LEA Data Reporting Non-Title I LEAs







ESSER Funds – USED LEA Data Reporting Requirements



Welcome!

Please visit

www.azed.gov/ESSER-Data

for all data reporting resources and guidance documents

If you have any questions, please contact us at:

ESSER@azed.gov





Webinar Agenda



Review USED ESSER
Data Reporting
Requirements and Data
Element Categories



GME ESSER Data Reporting Supplement Walkthrough for Title I LEAs





Timeline for **Submission**



Opportunities for Support, Key Takeaways & Next Steps



Contacts & Resources





ESSER Funds – USED LEA Data Reporting Requirements

- The US Department of Education has released final data collection reporting requirements for all ESSER fund grants (ESSER I, II and III). Comprehensive data are required to be submitted by states (SEAs) and LEAs regarding their usage of ESSER grant funds
- These data requirements have been updated by USED and are generally much more streamlined than the prior requirements. Changes include a shift to the state fiscal year for reporting rather than the federal fiscal year
- Most of the required data elements (fiscal, program and personnel) are new and are not currently captured through existing ADE collections
- Data response types include a combination of Y/N (or True/False) and open-ended responses (as applicable)





General Information and Instructions

- "Expended" is defined here for USED reporting purposes as ESSER (I, II and III as applicable) funds drawn down to reimburse any ESSER-related expenses during the following time periods:
 - ✓ ESSER I: October 1, 2020 June 30, 2021 (portion of state fiscal year FY21)
 - ✓ ESSER II: July 1, 2020 June 30, 2021 (state fiscal year FY21)
 - ✓ **ESSER III**: July 1, 2020 June 30, 2021 (state fiscal year FY21)
- LEAs must complete **all** sections of this report based on all ESSER (I, II and III) funds received, as applicable
- All items require a response
- Refer to budget and narrative response information provided in ESSER I, II and III LEA funding application(s) and associated approved reimbursement requests, as applicable, while completing this reporting. This will help to ensure LEA reported responses are consistent with information in your approved funding application and reimbursement requests



Highlights of the primary categories of data and elements required for ESSER I, II and III:





- Total amounts allocated, and actual expenditures, disaggregated by activity category, within each grant within the reporting period
- There are now four primary activity categories
- Reporting of planned uses of funds based as a percentage of funds remaining

PROGRAM



- Now a series of survey-style Yes/No responses across four survey categories
- Title I LEAs will also need to provide responses regarding the 20% required set-aside to address learning loss

PERSONNEL



 Now, reporting of Total LEA FTE counts of all staff within the LEA, regardless of whether the position is funded by Federal, State, local, or other funds





There are now only four primary activity categories for LEAs to report expenses:





- Total amounts allocated, and actual expenditures, disaggregated by activity category, within each grant and funding source within the reporting period
- There are now four primary activity categories
- Reporting of planned uses of funds based as a percentage of funds remaining

Addressing Physical Health and Safety

Meeting Students' Academic, Social, Emotional, and Other Needs (Excluding Mental Health Supports)

Mental Health Supports for Students and Staff

NOTE: 'Mental health supports' are defined as services provided by a <u>licensed</u> mental health professional. Services delivered by non-licensed professionals should be reported as "Meeting Students' Academic, Social, Emotional, and Other Needs".

Operational Continuity and Other Allowed Uses



There are now only four primary activity categories for LEAs to report expenses:





- Total amounts allocated, and actual expenditures, disaggregated by activity category, within each grant and funding source within the reporting period
- There are now four primary activity categories
- Reporting of planned uses of funds based as a percentage of funds remaining

Non-Title I LEAs will address each of the four expenditure categories through providing Yes/No responses





Reporting of planned uses of remaining funds:





- Total amounts allocated, and actual expenditures, disaggregated by activity category, within each grant and funding source within the reporting period
- There are now four primary activity categories
- Reporting of planned uses of funds based as a percentage of funds remaining

- ➤ All LEAs will report their planned (budgeted) uses of their remaining ESSER I, II and III funds within the four activity categories, as applicable
- Data entered for this section will be percentages:
 Example:

Addressing Physical Health and Safety	10%
Meeting Students' Academic, Social, Emotional, and Other	
Needs (Excluding Mental Health Supports)	60%
Mental Health Supports for Students and Staff	10%
Operational Continuity and Other Allowed Uses	20%
TOTAL	100%

➤ Refer to the budget information provided in ESSER I, II and III LEA funding application(s) to ensure LEA reported responses are consistent with the information in approved funding applications





Highlights of the primary categories of data and elements required for ESSER I, II and III:
 Note: Responses for this section refer to the use of <u>all ESSER I, II and III</u> funds expended during the reporting period





- Now a series of survey-style Yes/No responses across four survey categories
- Title I LEAs will also need to provide responses regarding the 20% required set-aside to address learning loss

Maintaining Safe In-Person Instruction

Please select Yes or No to indicate whether ESSER funds were expended on each of the items listed

Internet Access Expenditures

➤ Please select Yes or No to indicate whether ESSER funds were expended on each of the items listed

Reengaging Students

Please select Yes or No to indicate strategies used to re-engage students with poor attendance or participation

ESSER Allocation Criteria

Please select Yes or No to indicate criteria used to allocated ESSER funds to schools



The last section refers to personnel data for all FTEs in the LEA:





 Now, reporting of Total LEA FTE counts of all staff within the LEA, regardless of whether the position is funded by Federal, State, local, or other funds

Full-Time Equivalent (FTE) Positions

Provide the number of full-time equivalent (FTE) positions for the LEA, or non-LEA Entity as of the listed reporting dates.

• (The number of FTE positions includes all staff regardless of whether the position is funded by Federal, State, local, or other funds - and equals the sum of the number of full-time positions plus the full-time equivalent of the number of part-time positions)

Data Count ID	Detail Items	Responses
793.T	Full-time equivalent (FTE) positions as of September 30, 2018	
794.T	Full-time equivalent (FTE) positions as of September 30, 2019	
795.T	Full-time equivalent (FTE) positions as of March 13, 2020	
796.T	Full-time equivalent (FTE) positions as of September 30, 2020	
797.T	Full-time equivalent (FTE) positions as of September 30, 2021	





Overview of the Data Reporting Supplement in GME



The **ESSER Reporting supplement** may be accessed through the ADE Grants Management system at: gme.azed.gov

You will need to sign into the Grants Management system using your ADEConnect login information to access the application. Access to the Grants Management system may need to be granted to you by your local LEA **ADEConnect Entity Administrator**

The **Supplement** is named: "ESSER Reporting" under Fiscal Year 2022

Fiscal Year:

2022 ~

Supplement: |CTE Reporting

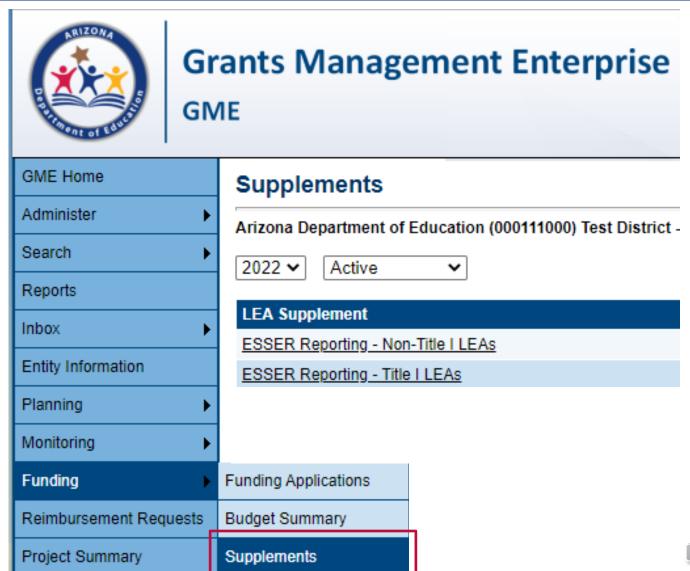
ESSER Reporting - Non-Title I LEAs

ESSER Reporting - Title I LEAs



Overview of the Data Reporting Supplement in GME





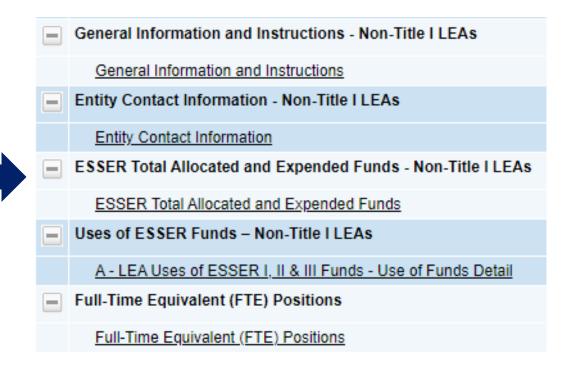




Complete All Required Sections in GME



Non-Title I LEAs







Overview of the Data Reporting Supplement in GME



General Information and Instructions

Entity and Contact Information

ESSER Total Allocated and Expended Funds

Uses of ESSER Funds

Full-Time Equivalent (FTE) Positions





General Information and Instructions

This section contains **General Information and Instructions** regarding the ESSER Reporting supplement. Here are a few key items from this page:

- "Expended" is defined here for USED reporting purposes as ESSER (I, II and III as applicable) funds drawn down to reimburse any ESSER-related expenses during the following time periods:
 - ✓ ESSER I: October 1, 2020 June 30, 2021 (portion of state fiscal year FY21)
 - ✓ **ESSER II**: July 1, 2020 June 30, 2021 (state fiscal year FY21)
 - ✓ **ESSER III**: July 1, 2020 June 30, 2021 (state fiscal year FY21)
- LEAs must complete **all** sections of this report based on all ESSER (I, II and III) funds received, as applicable
- ➤ All items require a response. "N/A", "0" or "Y/N" must be entered when applicable as a blank response will prevent submission
- Refer to budget and narrative response information provided in ESSER I, II and III LEA funding application(s) and associated approved reimbursement requests, as applicable, while completing this reporting. This will help to ensure LEA reported responses are consistent with information in your approved funding application and reimbursement requests

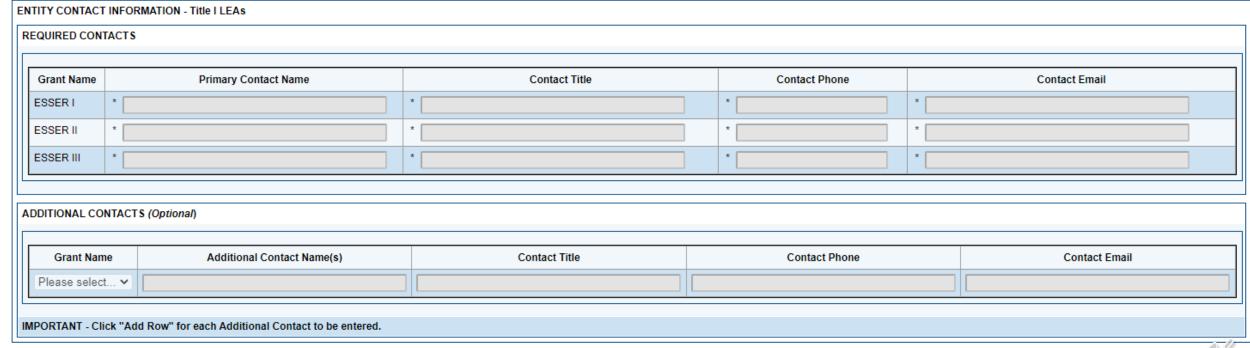


Please contact <u>ESSER@azed.gov</u> with questions and visit <u>https://www.azed.gov/ESSER-Data</u> for additional ESSER data reporting information and resources



Entity Contact Information

- ➤ The Entity Contact Information subsection is where the LEA should provide the primary contacts for the LEA for each ESSER fund grant
- > Additional contacts may also be added for each grant as needed:

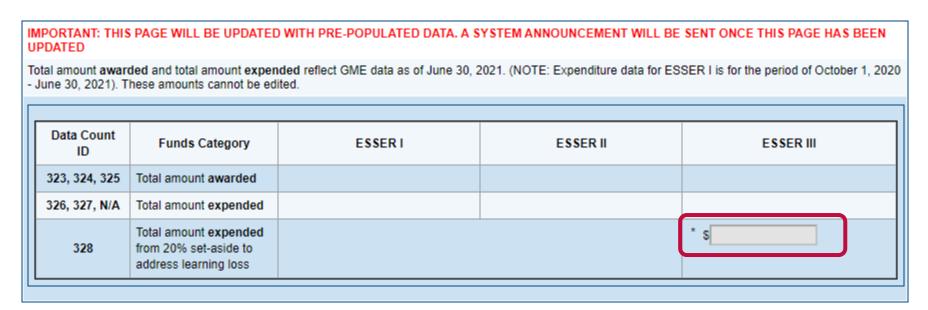






ESSER Total and Expended Funds

- ➤ The ESSER Total Allocated and Expended Funds section will be prepopulated by GME for the total amounts awarded and total expended by the LEA during the reporting period across ESSER I, II and III
- ➤ LEAs will need to <u>enter</u> the <u>amount expended</u> for activities associated with the **ESSER III 20% required set-aside** to address learning loss during the reporting period (July 1, 2020 June 30, 2021). If no funds were expended, enter 0:







- ➤ The **Uses of ESSER Funds** section has five required subsections:
 - LEA Uses of ESSER I, II & III Funds Use of Funds Detail
 - Safe School Reopening Expenditures
 - Internet Access Expenditures
 - Reengaging Students
 - ESSER Allocation Criteria
- > The last four subsections are presented as Yes/No surveys





LEA Uses of ESSER I, II & III Funds – Use of Funds Detail

The **LEA Uses of ESSER I, II & III Funds** subsection is intended to capture data regarding how ESSER funds were expended within four primary activity categories as defined by USED

Addressing Physical Health and Safety

Meeting Students' Academic, Social, Emotional, and Other Needs (Excluding Mental Health Supports)

Mental Health Supports for Students and Staff

NOTE: 'Mental health supports' are defined as services provided by a <u>licensed</u> mental health professional. Services delivered by non-licensed professionals should be reported as "Meeting Students' Academic, Social, Emotional, and Other Needs".

Operational Continuity and Other Allowed Uses



Uses of ESSER Funds – Non-Title I LEAs

LEA Uses of ESSER I, II & III Funds – Use of Funds Detail

Non-Title I LEAs should indicate by selecting Yes or No how they expended ESSER I, II and III funds across each of the four primary activity categories

"Expended" is defined here for USED reporting purposes as ESSER (I, II and III as applicable) funds drawn down to reimburse any ESSER-related expenses during the following time periods:

- ✓ ESSER I: October 1, 2020 June 30, 2021 (portion of state fiscal year FY21)
- ✓ **ESSER II**: July 1, 2020 June 30, 2021 (state fiscal year FY21)
- ✓ ESSER III: July 1, 2020 June 30, 2021 (state fiscal year FY21)

Data Count ID		ESSERI	ESSER II	ESSER III
	Uses of ESSER Funds			
280, 293, 313	Were funds used to Address Physical Health and Safety?	Yes No	* O O	* O Yes No
281, 294, 314	Were funds used to Meet Students' Academic, Social, Emotional, and Other Needs (Excluding Mental Health Supports)?	* O Yes No	* O O	* O Yes No
282, 295, 315	Were funds used on Mental Health Supports for Students and Staff? NOTE: 'Mental health supports' are defined as services provided by a licensed mental health professional. Services delivered by non-licensed professionals should be reported as "Meeting Students' Academic, Social, Emotional, and Other Needs".	* O Yes No	* O Yes No	* O Yes No
283, 296, 316	Were funds used on Operational Continuity and Other Uses?	* O O	* O Yes No	* O Yes No





LEA Uses of ESSER I, II & III Funds – Use of Funds Detail

490, 496

491, 497, 504

492, 498, 505

Planned Uses of Remaining

Total amount expended in the prior reporting period - (Data will

Remaining ESSER funds - (Data

Percentage of remaining ESSER

funds planned for Addressing
Physical Health and Safety

Percentage of remaining ESSER

be calculated based on

will be calculated based on

responses)

responses)

Planned Uses of Remaining Funds

- ✓ All LEAs will report their planned (budgeted) uses of their remaining ESSER I, II and III funds within the four activity categories, as applicable
- ✓ Data entered for this section will be percentages
- ✓ The percentages entered should total to 100% for each ESSER grant column

Refer to the budget information provided in LEA ESSER I, II and III LEA funding application(s) to ensure LEA reported responses are consistent with the information in approved funding applications

493, 499, 506	funds planned for Meeting Students' Academic, Social, Emotional, and Other Needs (Excluding Mental Health Supports)	70	70	
494, 500, 507	Percentage of remaining ESSER funds planned for Mental Health Supports for Students and Staff. NOTE: 'Mental health supports' are defined as services provided by a licensed mental health professional. Services delivered by non-licensed professionals should be reported as "Meeting Students' Academic, Social, Emotional, and Other Needs"	* 96	* 96	*
495, 501, 508	Percentage of remaining ESSER funds planned for Operational Continuity and Other Allowed Uses	* 96	* 96	*

ESSERI

ESSERII

ESSER III

(inclusive of all ESSER III funds)



Maintaining Safe In-Person Instruction

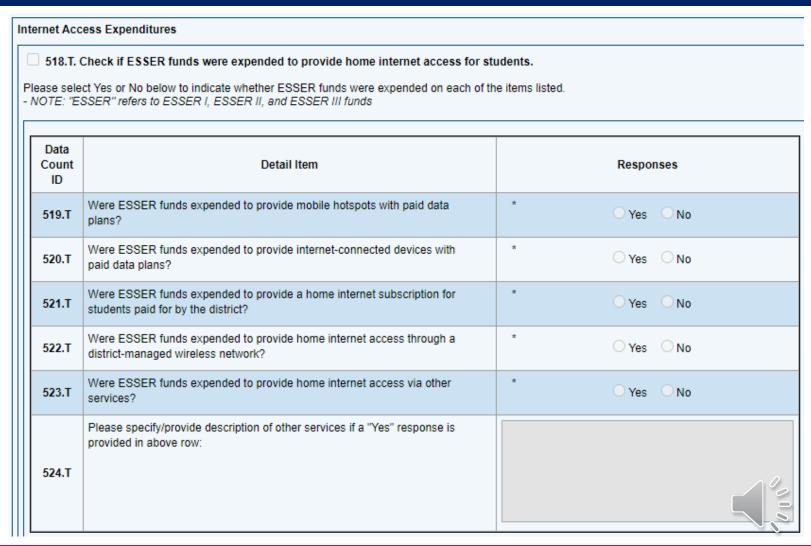
✓ Indicate by selecting Yes or No if the LEA expended any ESSER funds (ESSER I, II or III) during the reporting period (July 1, 2020 – June 30, 2021) on these activity categories





Internet Access Expenditures

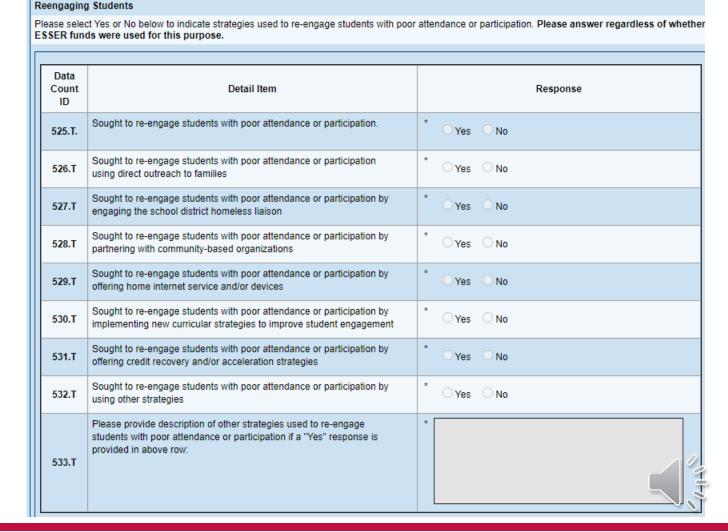
- ✓ First, indicate if any ESSER funds (ESSER I, II or III) were expended to provide home internet access for students during the reporting period (July 1, 2020 – June 30, 2021)
- ✓ If Yes, then select Yes or No to indicate what types of home internet services were provided by the LEA using ESSER funds
- ✓ Use the 'Other' section (as needed) by selecting Yes or No and then providing a brief description





Reengaging Students

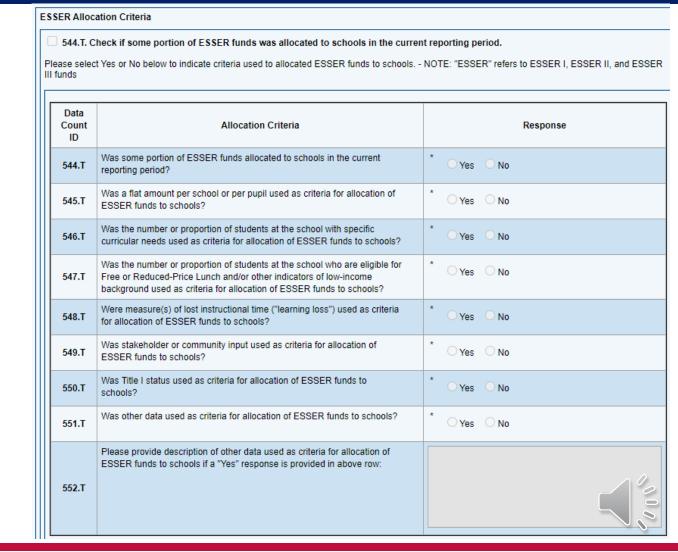
- Select Yes or No to indicate the strategies the LEA may have used to reengage students with poor attendance or participation
- ✓ Use the 'Other' section (as needed) by selecting Yes or No and then providing a brief description
- ✓ Please answer regardless of whether ESSER funds were used for this purpose





ESSER Allocation Criteria

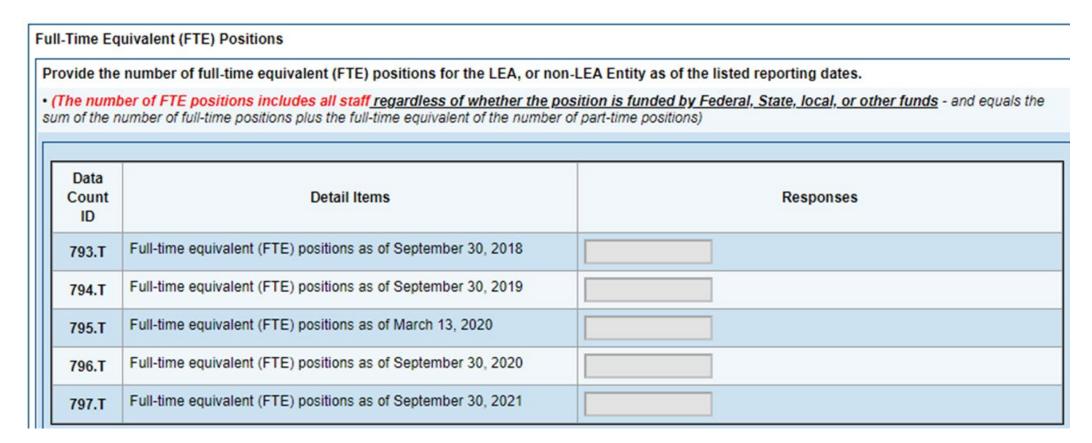
- ✓ First, indicate if the LEA allocated some portion of their ESSER funds (ESSER I, II or III) to schools during the reporting period (July 1, 2020 June 30, 2021)
- ✓ If Yes, then select Yes or No to indicate the criteria the LEA may have used to allocate funds to schools within the LEA
- ✓ Use the 'Other' section (as needed) by selecting Yes or No and then providing a brief description





Full-Time Equivalent (FTE) Positions

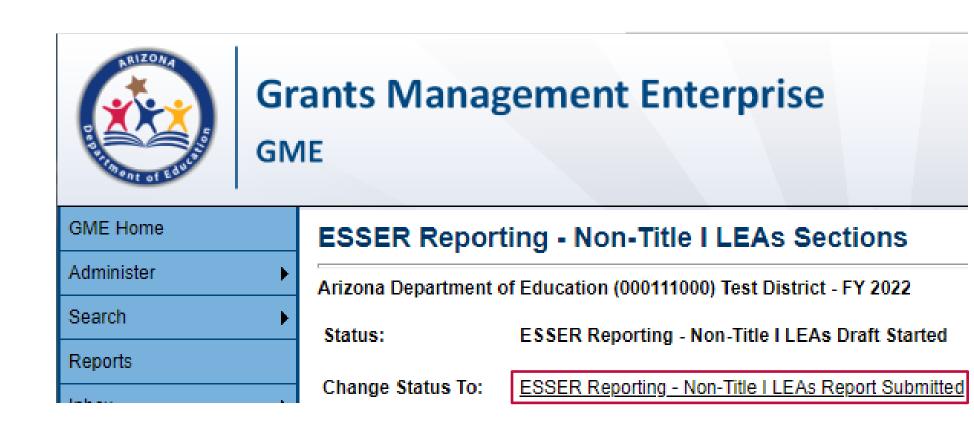
- The Full-Time Equivalent (FTE) Positions section is where the LEA will provide the total number
 of FTE positions for the LEA as of each listed reporting date
- LEAs should report all FTEs regardless of their funding source







ESSER Reporting Supplement Submission









Data Reporting Timeline Review

ESSER Reporting
Supplement opens in
the Grants
Management system

LEAs complete the ESSER Reporting Supplement

ADE provides technical assistance and support as needed Deadline for LEAs to submit the ESSER Reporting Supplement

March 14, 2022

March – April 2022

April 22, 2022





Opportunities for Support

ADE Open Office Hours Sessions

Our team invites you to attend one of our office hours to receive additional support and guidance with ESSER LEA data reporting. These are open office hours, meaning no registration is required and you can join for any period of time to get your questions answered. Links for each session are posted at www.azed.gov/ESSER-Data:

- ➤ March 23rd 3-4 PM Wednesday
- ➤ March 30th 3-4 PM Wednesday
- ➤ April 14th 3-4 PM Thursday





Key Takeaways & Next Steps

- ✓ The U.S. Department of Education has released new data reporting requirements that apply to all entities receiving ESSER I, II and III funds
- ✓ The data required include new fiscal, programmatic and personnel data elements with a new focus on reporting during state fiscal years
- ✓ The timeline for LEAs to submit these data to ADE is a window between March 14th and April 22nd.
- ✓ Additional technical assistance webinars and support resources to help LEAs to collect and submit these data will be provided as needed
- ✓ ADE is committed to working collaboratively to support LEAs to respond to these new requirements





Thank You!





Peter Laing - Policy Advisor

Please visit

www.azed.gov/ESSER

for links to all ESSER (I, II & III) grant and program resources and guidance documents

Data reporting resources, guidance documents and technical assistance webinar links are available at www.azed.gov/ESSER-Data

If you have any questions, please contact us at: ESSER@azed.gov



