

SPED Integrity Rules Reference

A reference guide for all SPED Integrity Rules, Membership Rules relevant to SPED data, and Upcoming Integrity Rules. For more information on how to troubleshoot individual SPED Integrity Errors, visit our **SPED Integrity Tools** section found in the **AzEDS SPED Reporting** page, here: <http://www.azed.gov/specialeducation/data-management/azeds-sped-reporting/>

S=State, FS=Federal SPED, Oct1=October 1 Count Exclusion

SPED Rules – 400xx

Code	Description	Error Message	S/FS/ Oct1	Notes
40000	The SPED matrix defines the validation rules for concurrent SPED needs. For a student receiving special education, the combination of need codes submitted do not comply with the Concurrent Need Eligibility matrix, then report the discrepancy as an error.	The need code combination does not comply with the Concurrent Need Eligibility matrix.	S, FS, Oct1	Concurrent Need Eligibility matrix: http://bit.ly/concurrentneed
40001	If the entity types are not DISTRICT, JUVENILE, PUBLIC SPED INSTITUTION, PRIVATE/SPED, HEAD START, CHARTER, then report the discrepancy as an error.	Invalid DOA type for SPED services	S, FS, Oct1	DOA = District of Attendance To receive SPED services, a student's DOA must be District, Juvenile, Public SPED, Private SPED, Head Start, or Charter.
40003	If a student is submitted as receiving special education at the entity level, then report the discrepancy as an error.	A student may not receive special education at a district-level entity.	S, FS, Oct1	
40004	If the grade = PS, and the Least Restrictive Environment (LRE) code is not PA1, PA2, PB1, PB2, PD, PE, PG, PH1, PH2, PJ, PS with an approved need, then report the discrepancy as an error.	The Least Restrictive Environment (LRE) code, grade or need combination does not comply with the Concurrent Need and Least Restrictive Environment (LRE) Code Eligibility matrix.	S, FS, Oct1	Concurrent Need and LRE Code Eligibility matrix: http://bit.ly/concurrentneedrecode
40004	If the grade is not PS, and the Least Restrictive Environment (LRE) code is not A, B, C, D, E, EA, EB, EC, FA, FB, FC, H, I, J, with an approved need, then report the discrepancy as an error.	The Least Restrictive Environment (LRE) code, grade or need combination does not comply with the Concurrent Need and Least Restrictive Environment (LRE) Code Eligibility matrix.	S, FS, Oct1	Concurrent Need and LRE Code Eligibility matrix: http://bit.ly/concurrentneedrecode
40012	If code value is = CEC and Service code = E, EA, EB, EC, FA, FB, FC, J, or PG, then report the discrepancy as an error.	Least Restrictive Environment (LRE) E, EA, EB, EC, FA, FB, FC, J, and PG may not have a Certificate of Educational Convenience (CEC)	S, FS, Oct1	Residential, correctional, and private facilities cannot accept a CEC = Certificate of Educational Convenience.
40020	The combination of Least Restrictive Environment (LRE) codes submitted for LEA types do not comply with the Concurrent PEA and Least Restrictive Environment (LRE) Code Eligibility matrix.	The Least Restrictive Environment (LRE) does not comply with the Concurrent PEA and Least Restrictive Environment (LRE) Code Eligibility matrix.	S, FS, Oct1	PEA = Public Education Agency Concurrent PEA and LRE Code Eligibility matrix: http://bit.ly/concurrentpealrecode

Code	Description	Error Message	S/FS/ Oct1	Notes
40021	If the special enrollment code = Open Enrollment and the service codes reported = E, EA, EB, or EC, then report the discrepancy as an error.	Service Code E, EA, EB or EC is not allowed for Open Enrollment	S, FS, Oct1	
40027	If the Least Restrictive Environment (LRE) Code = I, and at least one of the student's need codes is not = MD, A, SID, or OI, then report the discrepancy as an error. Any other need can also be reported with a Least Restrictive Environment (LRE) code of I, ONLY IF student is reported with one of the 4 eligible needs previously listed.	Invalid need code for this Least Restrictive Environment (LRE) code I; refer to the Concurrent Need and Least Restrictive Environment (LRE) Code Eligibility matrix.	S, FS, Oct1	Least Restrictive Environment code I (Services in a regular classroom) Concurrent Need and LRE Code Eligibility matrix: http://bit.ly/concurrentneedrecode
40028	If the Least Restrictive Environment (LRE) Code = E, then the DOA must be PUBLIC SPED INSTITUTION or Private school/SPED.	DOA does not meet the criteria for Least Restrictive Environment (LRE) code E; refer to the Concurrent PEA and Least Restrictive Environment (LRE) Code Eligibility matrix.	S, FS, Oct1	Least Restrictive Environment code E (50% or more of services in a public or private facility) Concurrent PEA and LRE Code Eligibility matrix: http://bit.ly/concurrentpealrecode
40029	The combination of Least Restrictive Environment (LRE) code and need code do not comply with the Concurrent Need and Least Restrictive Environment (LRE) Code Eligibility matrix.	The Least Restrictive Environment (LRE) code and need code combination does not comply with the Concurrent Need and Least Restrictive Environment (LRE) Code Eligibility matrix.	S, FS, Oct1	Concurrent Need and LRE Code Eligibility matrix: http://bit.ly/concurrentneedrecode
40030	If the entity ID is associated with a AOI school, and SPED need code is a group B need (A, EDP, HI, MD, MDSSI, MIID, OI, PSD, SID, VI), then report the discrepancy as a warning.	Special education students with a Group B need code (A, EDP, HI, MD, MDSSI, MIID, OI, PSD, SID, VI) are not typically served in an online instruction setting.	S, FS	This rule is a warning only.
40031	For PUBLIC SPED INSTITUTION and PRIVATE/SPED, the site must be approved to service the student's need code.	Site is not approved to provide this need code, refer to the Approved Private School lists.	S, FS, Oct1	Beginning FY21, this rule validates approved needs at the site level.
40034	If the DOA is ASDB, and the student's Need VI and/or HI is not present then report the discrepancy as an error.	Students attending ASDB must be submitted with need code HI and/or VI to be eligible for any other need.	S, FS, Oct1	ASDB = Arizona School for the Deaf and Blind. HI and VI refer to hearing and visual impairments, respectively.
40035	If the grade = PS, and the need code is not HI, DD, PSD, SLI, or VI, then report the discrepancy as an error.	Invalid need code for PS grade; refer to Concurrent Need and Least Restrictive Environment (LRE) Code Eligibility matrix.	S, FS, Oct1	Concurrent Need and LRE Code Eligibility matrix: http://bit.ly/concurrentneedrecode
40036	If a student receiving special education turns 22 years of age during the school year, the student shall continue to receive special education through the end of that school year. (ARS 15-764(A)(1)).	A student over 21 before enrollment cannot receive special education.	S, FS, Oct1	
40040	If concurrent Least Restrictive Environment (LRE) for a single student are reported with different DORs, fail integrity for all DORs.	Student is reported concurrently with a Least Restrictive Environment (LRE) code at a different	S, FS, Oct1	{Actual1} – This will show the concurrent DORs in the error message on the INTEG15 report.

Code	Description	Error Message	S/FS/ Oct1	Notes
		DOR. Contact {Actual1} to determine which school(s) have been concurrently identified as the DOR.		
40041	If a student is submitted with a DD need code and the student is not less than 10 years of age, then report the discrepancy as an error.	Invalid age for need code DD.	S, FS, Oct1	DD = Developmental Delay
40044	If concurrent Least Restrictive Environment (LRE) codes exist during the reported dates for a single SPED need, then report the discrepancy as an error.	There is more than one Least Restrictive Environment (LRE) code specified at a single point in time for this need.	S, FS, Oct1	
40046	If student grade is PS and SPED Exit Reason is invalid for PS (code 2 "Graduated with regular high school diploma", or code 3 "Reached maximum age"), then report the discrepancy as an error.	SPED Exit Reasons 2 or 3, are not allowed for preschool.	S, FS	SPED Exit Reason 2 (Graduated with regular high school diploma) SPED Exit Reason 3 (Reached maximum age)
40048	If the Least Restrictive Environment does not have a start date > the latest exit date of Least Restrictive Environment with a SPED Exit code 9 (Ends one LRE but starts another), then report the discrepancy as an error.	Student must have a Least Restrictive Environment (LRE) with a start date > the latest exit date of a SPED Exit code 9.	S, FS	SPED Exit code 9 (Ends one LRE but starts another)
40050	If a student with a SPED need does not have one Federal Primary Need Indicator (FPNI) and receiving special education, then report the discrepancy as an error.	Student participating in special education must have one Federal Primary Need Indicator (FPNI).	FS, Oct1	
40051	If a student is reported with more than one Federal Primary Need Indicator (FPNI), then report the discrepancy as an error.	Student participating in special education must have only one Federal Primary Need Indicator (FPNI).	FS, Oct1	
40055	If a student's SPED Exit Reason does not align with the student's enrollment year end or withdrawal enrollment status, then report the discrepancy as an error. This rule will be re-run beginning April 1st of the fiscal year to capture misalignment and unreported. (Refer to SPED Exit Validation matrix)	Invalid exit code combination; refer to the SPED Exit Validation matrix.	FS	SPED Exit Validation matrix: http://bit.ly/spedexitvalidation
40057	If a student has a concurrent Main SPED School Indicator of primary at multiple schools, then report the discrepancy as an error. Note that multiple schools may report Main SPED School Indicator of secondary.	One or more schools have been identified as the Main school providing special education. Please contact {Actual1} to determine which school(s) have concurrently reported the Main SPED School Indicator.	S, FS, Oct1	{Actual1} – This will show the concurrent DORs in the error message on the INTEG15 report.
40059	If SPED exit code 11 is submitted, and the subsequent Least Restrictive Environment (LRE) code is not H within 6 in-session days, then report the discrepancy as an error.	Student must be reported within 6 in-session days with Least Restrictive Environment (LRE) code H after exiting a prior LRE with SPED exit code 11.	S, FS, Oct1	H = Homebased, Exit Code 11 = Expelled

Code	Description	Error Message	S/FS/ Oct1	Notes
40061	If special education is not provided on a valid session day in the school's calendar identified in the enrollment, then report the discrepancy as an error.	Least Restrictive Environment (LRE) falls outside this PEA's calendar {Actual1}.	S, FS, Oct1	{Actual1} – This will show the calendar code in the error message on the INTEG15 report. For AOlS: First day of school = 7/1; Last day of school = 6/30
40062	If a Least Restrictive Environment (LRE) is submitted for a student without a SPED need, then report the discrepancy as an error.	For each day there is a Least Restrictive Environment (LRE) provided, a SPED need must be reported.	S, FS, Oct1	
40063	If the SPED need end date is less than the SPED need entry date, then report the discrepancy as an error.	SPED need exit date must be greater than SPED need entry date.	S, FS, Oct1	
40064	If the Least Restrictive Environment (LRE) end date is less than the LRE entry date, then report the discrepancy as an error.	Least Restrictive Environment (LRE) end date must be greater than LRE entry date.	S, FS, Oct1	
40065	If a need code of MD is submitted without at least one of HI, MOID, OI, VI and at least one of ED, MIID, SLD or two or more of HI, MOID, OI, VI, then report the discrepancy as an error.	Invalid need code combination for MD; refer to the Criteria for MD and MDSSI Document.	S, FS, Oct1	MD = Multiple Disabilities Criteria for MD and MDSSI Document: http://bit.ly/mdandmdssireporting
40067	If a Least Restrictive Environment (LRE) is submitted for a student without Main SPED School indicator, then report the discrepancy as an error.	Missing Main SPED school indicator.	S, FS, Oct1	One Main SPED school per student. Any other schools will be assumed as secondary schools.
40068	If SPED need code = ED is submitted and DOA is an RTC, only LRE Codes EA, EB & EC are permitted.	Least Restrictive Environment (LRE) code of E cannot be reported with need code of ED at a Residential Treatment Center; only EA, EB & EC are permitted.	S, FS, Oct1	ED = Emotional Disability
40069	Least Restrictive Environment (LRE) must be within a valid enrollment at a school. Please note the error may be caused by a student not having valid enrollment due to missing DOR, grade or calendar.	Least Restrictive Environment (LRE) must be within a valid enrollment at a school. Please note the error may be caused by a student not having valid enrollment due to missing DOR, grade or calendar.	S, FS, Oct1	
40070	Need categories that must exist for MDSSI to be valid are: HI and VI, or one of HI or VI, and at least one of A, EDP, MOID, OI, SID.	Invalid need code combination for MDSSI; refer to the Criteria for MD and MDSSI Document.	S, FS, Oct1	MDSSI = Multiple Disabilities w/ Severe Sensory Impairment Criteria for MD and MDSSI Document: http://bit.ly/mdandmdssireporting
40071	Least Restrictive Environment (LRE) exit code 10 (Withdrawn by Parent and no Longer enrolled) can only be used if the student is 3 to 5 years old, and enrolled in Preschool (PS), Kindergarten (KG), or Ungraded Elementary (UE).	SPED Exit code 10 may only be used for student 3-5 yrs old, enrolled in PS, KG or UE grade, and exited from enrollment on same date.	S, FS, Oct1	

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40072	SPED Need of DD must be re-evaluated prior to the student's 10th birthday. This is a warning to be triggered 3 months prior to the student's birthday and will clear upon exiting the DD need prior to the 10th birthday.	Student Need of DD must be re-evaluated prior to the student's 10th birthday.	S, FS, Oct1	This integrity error is a warning only, not a failure.
40073	If the exit code used for SPED Least Restrictive Environment (LRE) is not a SPED Exit Code, then report the discrepancy as an error.	Only SPED Exit Codes may be used to exit a SPED Least Restrictive Environment (LRE).	S, FS, Oct1	AzEDS SPED Codes document: https://cms.azed.gov/home/GetDocumentFile?id=58e2dbd16f53b71b78723223
40074	When a student SPED Least Restrictive Environment (LRE) is reported with SPED02 Exit Code (Graduated with regular high school diploma), the corresponding enrollment for that site must end on the same day. There may be no other SPED LRE for that site reported after the exit date for the remainder of the fiscal year.	SPED Least Restrictive Environment (LRE) with Exit Code SPED02 must have enrollment end on same exit date. No SPED LREs are allowed for the site after Exit Code SPED02 is reported.	S, FS, Oct1	AzEDS SPED Codes document: https://cms.azed.gov/home/GetDocumentFile?id=58e2dbd16f53b71b78723223
40075	When a student SPED Least Restrictive Environment (LRE) is reported with SPED07 Exit Code (Dropped Out), the corresponding enrollment for that site must end on the same day.	SPED Least Restrictive Environment (LRE) with Exit Code SPED07 must have enrollment end on same exit date.	FS, Oct1	AzEDS SPED Codes document: https://cms.azed.gov/home/GetDocumentFile?id=58e2dbd16f53b71b78723223
40076	When a student's SPED LRE is reported with SPED13 Exit Code (School is Out), the exit date must be the last in-session day of the reported calendar for that school site.	SPED Least Restrictive Environment (LRE) Exit Date with Exit Code SPED13 must only be reported on the last in-session day of the reported calendar.	FS, Oct1	
40077	When a student SPED Least Restrictive Environment (LRE) is reported a Resident School ID must be reported.	Missing Resident School ID for SPED LRE reported.	S, FS	New for FY21. Regardless of reported enrollment, this is to be the EntityID of the site the student would attend in the District of Residence (DOR) without an IEP. This rule is a warning only in FY21 Upgrading to Failure in FY22
40078	SPED Least Restrictive Environment (LRE) exited with SPED05 (Moved known to be continuing) cannot have an Enrollment at the same site the next in-session calendar day, reported by the same District of Residence (DOR).	Exit code SPED05 is invalid with continuing site enrollment.	S, FS, Oct1	

Code	Description	Error Message	S/FS/ Oct1	Notes
40080	When a student SPED Least Restrictive Environment (LRE) is reported with SPED01 Exit Code (Transferred to Regular Education), there must be continuing enrollment at that site on the next in-session day with no SPED LRE reported.	SPED Least Restrictive Environment (LRE) is not allowed at the site on the next in-session day after Exit Code SPED01 is reported.	S, FS, Oct1	
40081	When a student SPED Least Restrictive Environment (LRE) is reported with SPED04 Exit Code (Died), the corresponding enrollment for that site must end on the same day, with no follow up Enrollment or SPED LRE at any site.	SPED Least Restrictive Environment (LRE) with Exit Code SPED04 must have enrollment end on same exit date. No SPED LREs are allowed for any site after Exit Code SPED04 is reported.	S, FS, Oct1	If the student is enrolled elsewhere in the state after this date the rule will fail. Contact ESSDataMgmt@azed.gov for assistance.
40082	When a student SPED Least Restrictive Environment (LRE) is reported with SPED03 Exit Code (Reached Maximum Age), the student's age must be equal to or greater than 21 based on the exit date, with no follow up SPED LRE at that site.	SPED Least Restrictive Environment (LRE) with Exit Code SPED03 can only be reported for student age greater than or equal to 21. No SPED LREs are allowed for the site after Exit Code SPED03 is reported.	S, FS, Oct1	
40083	SPED Exit Code SPED09 (Ends one LRE but starts another) cannot be reported if the student is reported with a permanent enrollment exit code prior to end of the LRE.	Exit code SPED09 is invalid if the student is previously reported as graduated.	S, FS, Oct1	
40085	Parentally Placed students on an ISP reported with Least Restrictive Environment J are part of Proportionate Share funds and must be Tuition Payer Code 2.	Parentally Placed students on an ISP reported with Least Restrictive Environment J are part of Proportionate Share funds and must be Tuition Payer Code 2.	S, FS, Oct1	

Membership and Accountability Rules relevant to SPED Data - 10xxx

Code	Description	Error Message	Oct1	Notes
10131	Preschool students not receiving SPED services must be reported with Tuition Payer code 2 for each day of enrollment. Preschool students on an IEP and receiving SPED services should be reported with Tuition Payer code 1 (regular) or 7 (ASDB voucher) for each day of enrollment.	Preschool students not receiving SPED services must be reported with Tuition Payer code 2 for each day of enrollment. Preschool students on an IEP and receiving SPED services should be reported with Tuition Payer code 1 (regular) or 7 (ASDB voucher) for each day of enrollment.		This rule is a warning only in FY21 Upgrading to Failure in FY22

Code	Description	Error Message	Oct1	Notes
10132	Students reported with Tuition Payer Code 4 (Non-SPED (NSE) students in residential treatment centers) may not have a SPED Least Restrictive Environment (LRE) reported within the dates of the Tuition Payer Code 4.	Any day a student is reported with Tuition Payer Code 4, a SPED program may not be reported.		
10133	Students reported with Tuition Payer Code 7 (Students funded through other state formula programs) must have a SPED Least Restrictive Environment (LRE) reported for all dates of the Tuition Payer Code 7.	Students reported with Tuition Payer code 7 must be reported with a SPED Least Restrictive		
10134	Students reported at an Arizona State Schools for the Deaf and the Blind (ASDB) must have a SPED Least Restrictive Environment (LRE) reported for all dates of the ASDB enrollment.	Students attending ASDB must be reported with a SPED Least Restrictive Environment (LRE).		
10206	Students attending Arizona State School for the Deaf and Blind (ASDB) sites are funded through the ESS Voucher program and should be reported with Tuition Payer Code 7 (Students funded through other state formula programs).	Students attending ASDB must be reported with Tuition Payer Code 7.		
10207	Students attending Residential Treatment Center sites are funded through the ESS Voucher program and should be reported with Tuition Payer Code 4 (Non-SPED (NSE) students in residential treatment centers) or 7 (Students funded through other state formula programs).	Students attending a Residential Treatment Center site must be reported with Tuition Payer Code 4 or 7.		

Upcoming Rules (Subject to Change) Not Active

Code	Description	Error Message	S/FS/ Oct1	Notes
40084	Students attending Arizona School for the Deaf and Blind (ASDB) sites must be reported with a Least Restrictive Environment (LRE) of D (Public or Private Separate Day School for greater than 50% of the school day) or PE (Separate School. Receives all special	Students attending ASDB must be reported with LRE D (K-12) or LRE PE (PS).	S, FS, Oct1	To be introduced in FY22

Code	Description	Error Message	S/FS/	Notes
	education and related services in public or private day schools designed specifically for children with disabilities).			
400xx	The Resident School ID reported must be a site within the reported District of Residence (DOR).	Resident School ID must be within the District of Residence.		To be introduced in FY22