ESEA FOR LEAs

Schoolwide 3

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What is a Schoolwide Program?

Purpose

- Comprehensive reform strategy designed to upgrade the entire educational program in a Title I school.

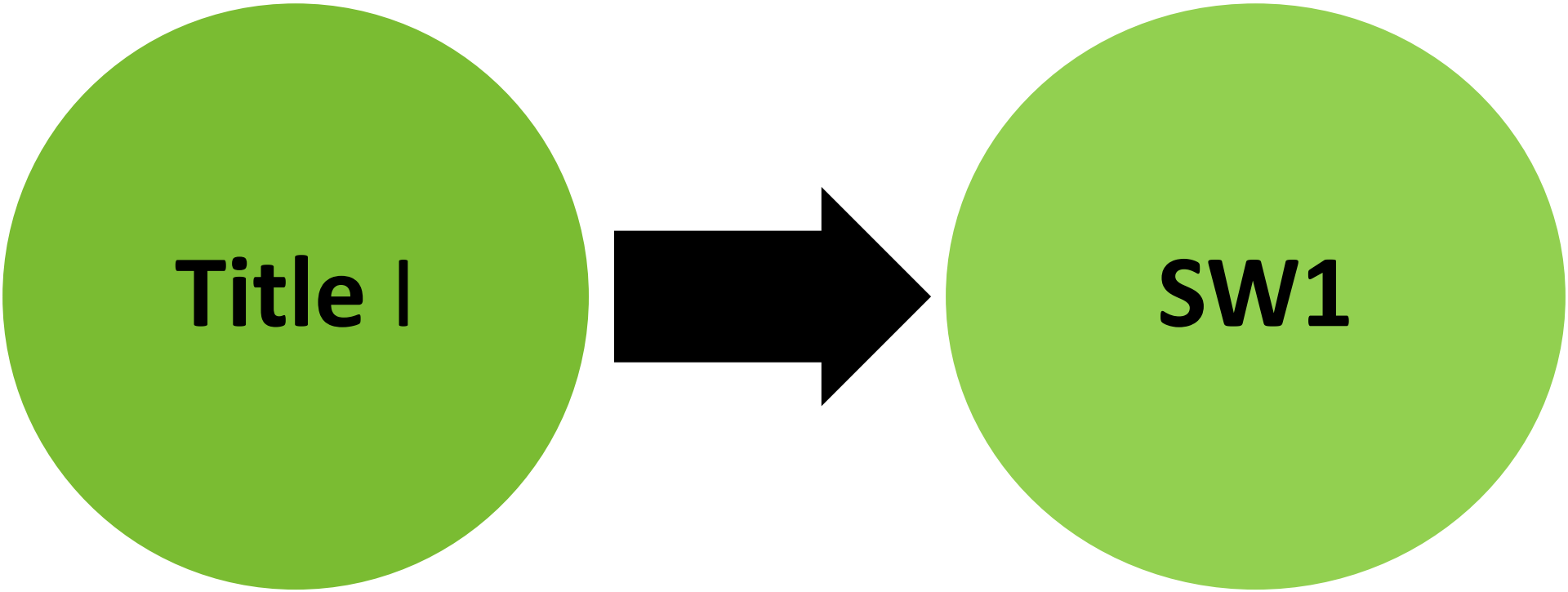
Goal

- Ensures that **ALL** students – particularly those who are low-achieving – meet and exceed levels of achievement on state academic standards.

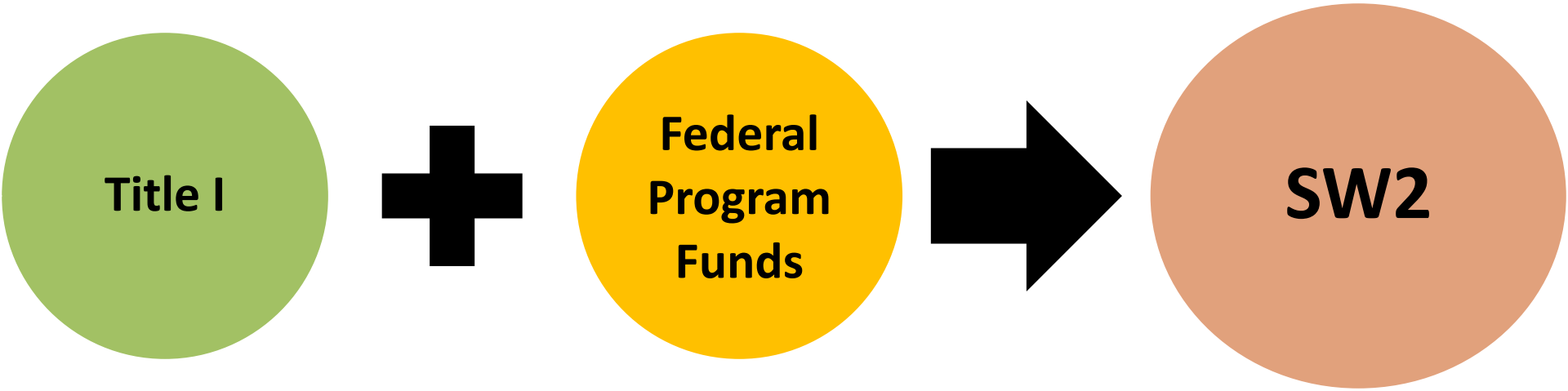
12 Components of a Schoolwide Plan

1. **Comprehensive Needs Assessment**
2. **School Reform Strategies**
3. **Professional Development**
4. **Instruction by Highly Qualified Teachers**
5. **Attracting and Retaining HQ Teachers**
6. **Parental Involvement**
7. **Transition of Students**
8. **Data Driven Decision Making**
9. **Teacher Input**
10. **Assistance/Interventions for At-Risk Students**
11. **External Facilitator**
12. **Coordination of Federal, State, Local, and other services and programs**

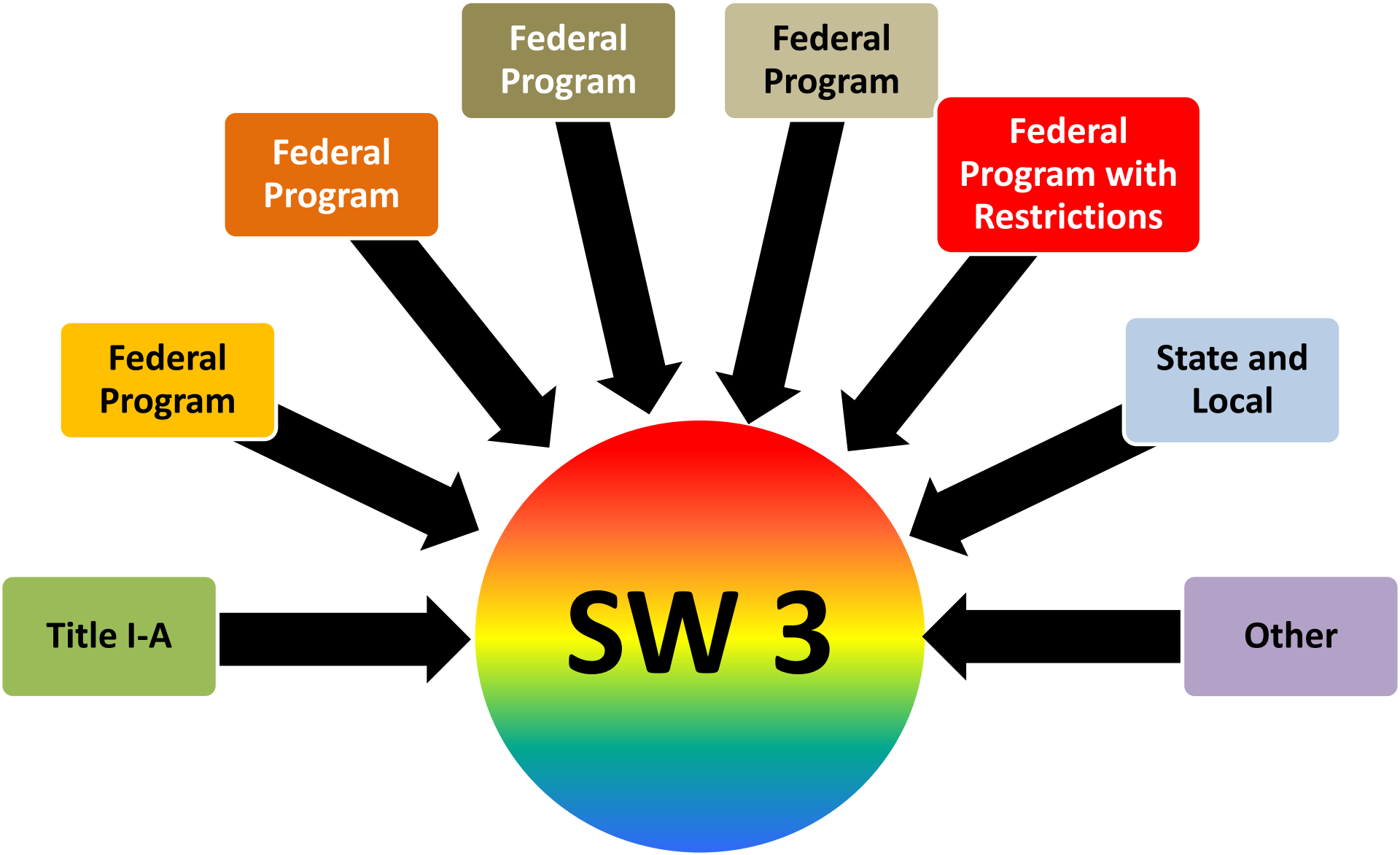
Schoolwide 1



Schoolwide 2



Schoolwide 3



What Is Schoolwide 3?

Section 1114 of Title I of the ESEA allows a school with 40% or more of its students coming from low-income families consolidate its Title I funds with other Federal, State, Local, and Discretionary/Competitive/Non-Profit funds to implement a Schoolwide Program that upgrades the school's entire educational program to improve the academic performance of all students, especially the lowest-achieving students.

– *Elementary and Secondary Education Act of 1965, Title I, Part A, Section 1114(a)(1)*

Purpose of SW3

To enable schools with a large number of at-risk students to integrate services the school provides from Federal, State, Local, and other resources to upgrade its education program.

Objectives of SW3

- To provide effective instruction and implement academic programs supported by scientifically based research (SBR) that will meet the academic needs of ALL students, especially those identified as most academically at-risk.
- To target the areas of growth and improvement while capitalizing on the areas of strength identified in the school's comprehensive needs assessment.

Requirements

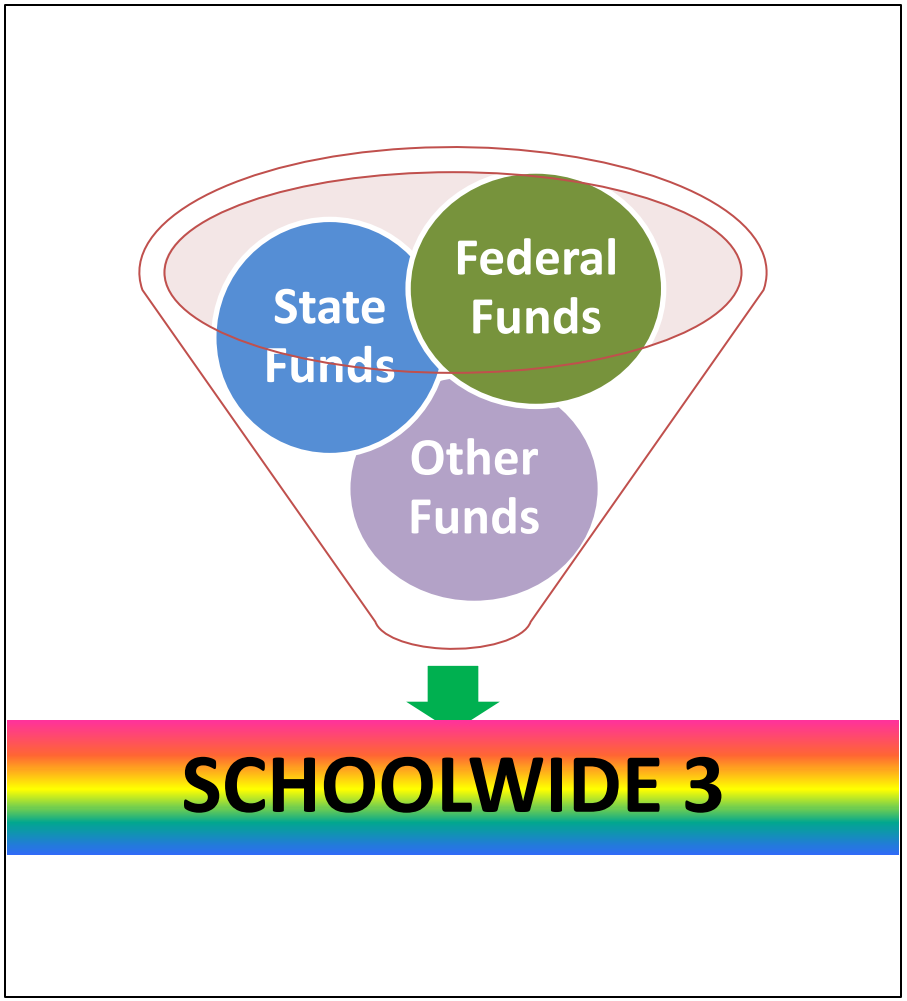
- 40% low income student population
- Consolidation of federal, state, local and other funds
- All students meet standards and receive services
- Meet the intent and purposes of consolidated programming sources.

Coordination and Integration of Programming Funds

Funding to consolidate under Schoolwide 3 comes from the following sources:

- Federal Formula Funding including Title I-A Disadvantaged
- State Formula Funding
- Local Funding
- Discretionary, Competitive Funding

Under a Schoolwide 3 Plan, all funding sources lose their "identity" under the Schoolwide Plan.



The school is still responsible for meeting the intent and purpose of each consolidated programming source.

Benefits

- All benefits of a Title I Schoolwide Program
- Comprehensive analysis of the school's education program
- Ease of requirements for accounting. Accountability
- Relief from certain statutory and regulatory requirements
- Flexibility to utilize all resources

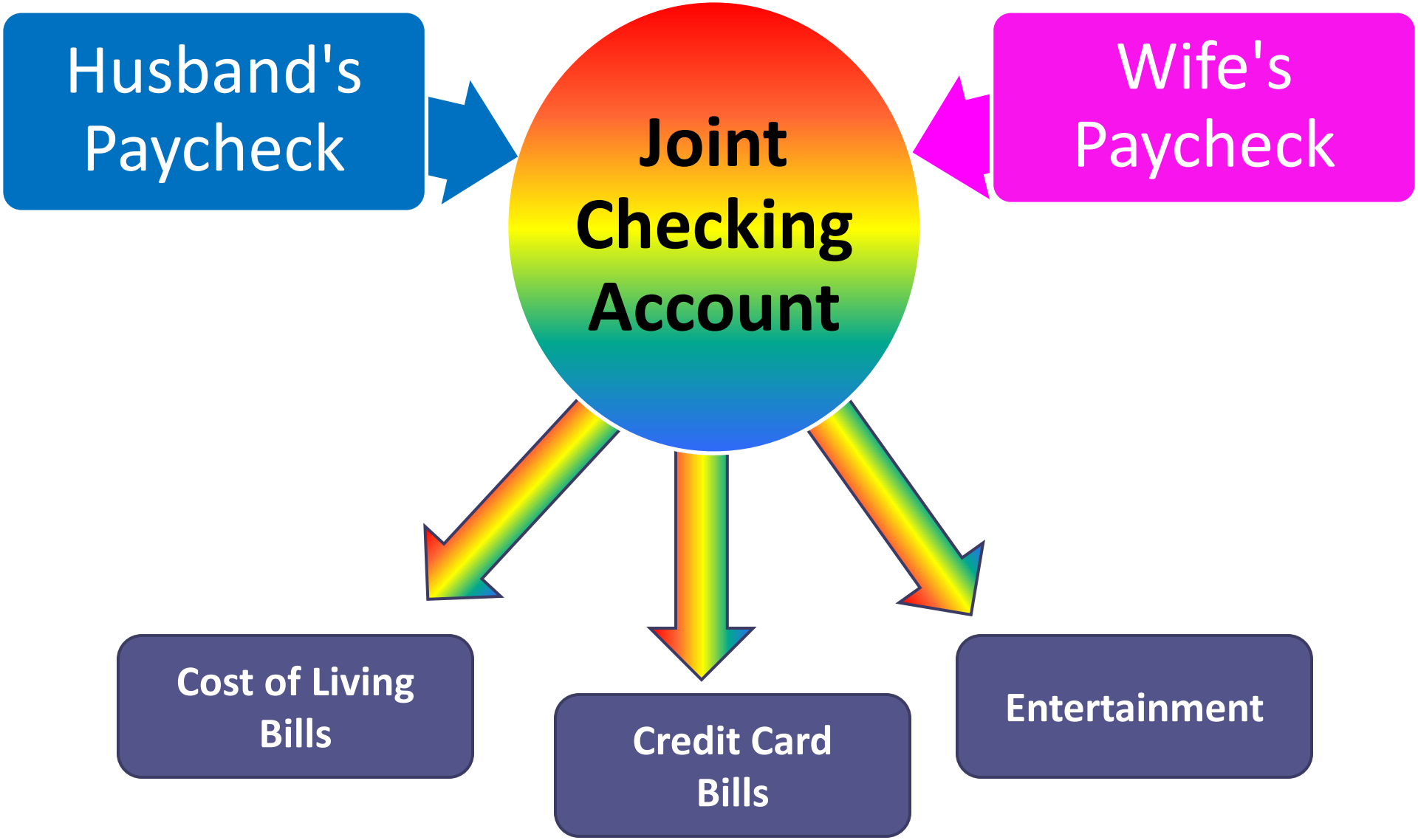
How Schoolwide 3 Works

1. LEA receives total allocation of funds annually from federal, state, local, and other sources.
2. The LEA distributes the funding to individual sites based upon criteria outlined in policies and procedures of the funding source.
3. The school creates a Schoolwide Plan that addresses the five focus areas for Schoolwide Reform. **Planning and implementation for Schoolwide 3 occurs at the site level.**
4. The school pools all federal, state, and local funding allocated for academic achievement along with any other grants and awards. **Budgeting for Schoolwide 3 is site based.**

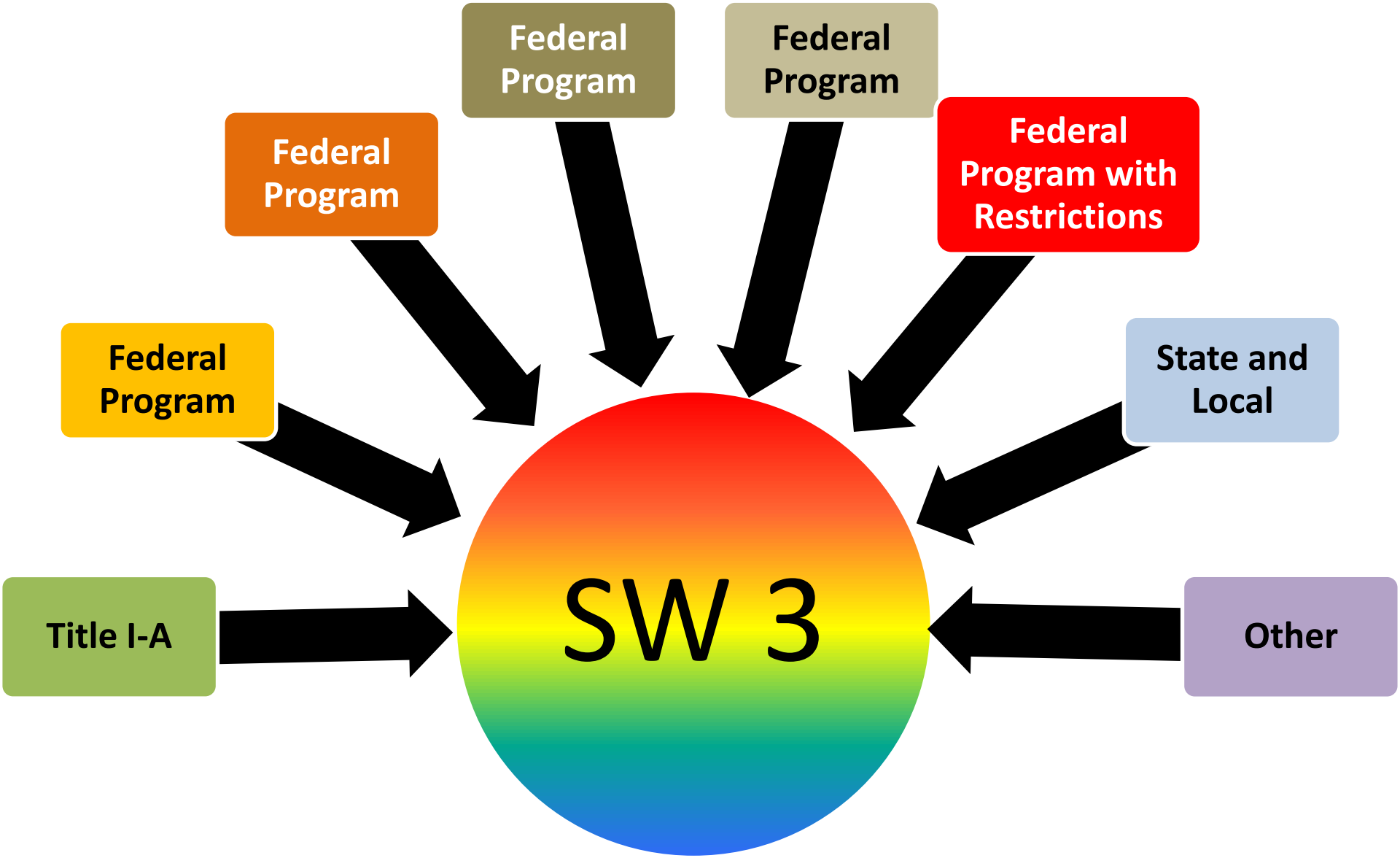
How Schoolwide 3 Works

5. Funding sources lose their "identity" under the Schoolwide 3 Plan. **However, the school must still meet the intent of the consolidated funding source.**
6. The Schoolwide 3 Plan is aligned to the goals of the LEA Continuous Improvement Plan.
7. Strategies and action steps are established. Funding for each strategy is identified based upon "pooled" sources.

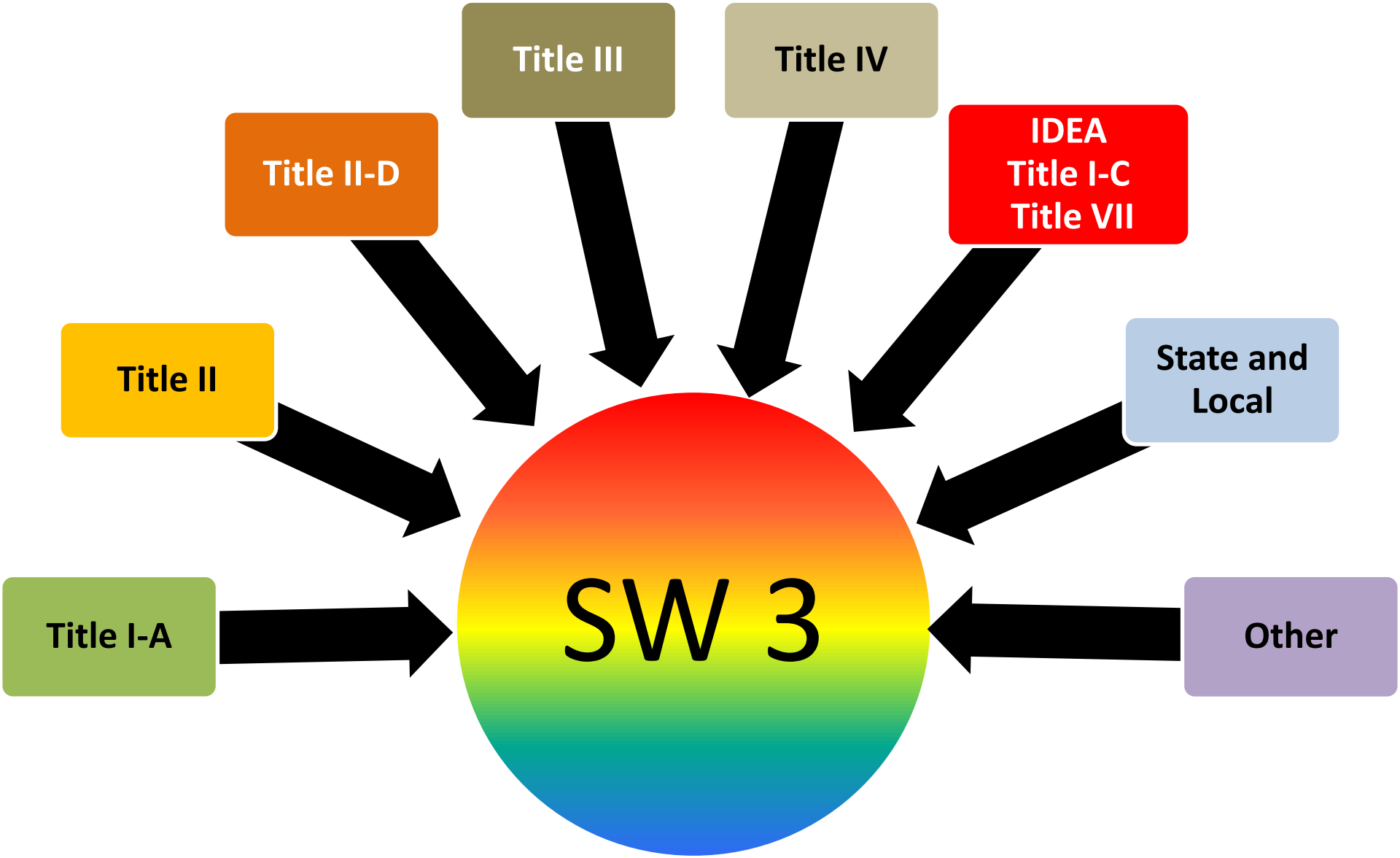
Joint Checkbook Analogy



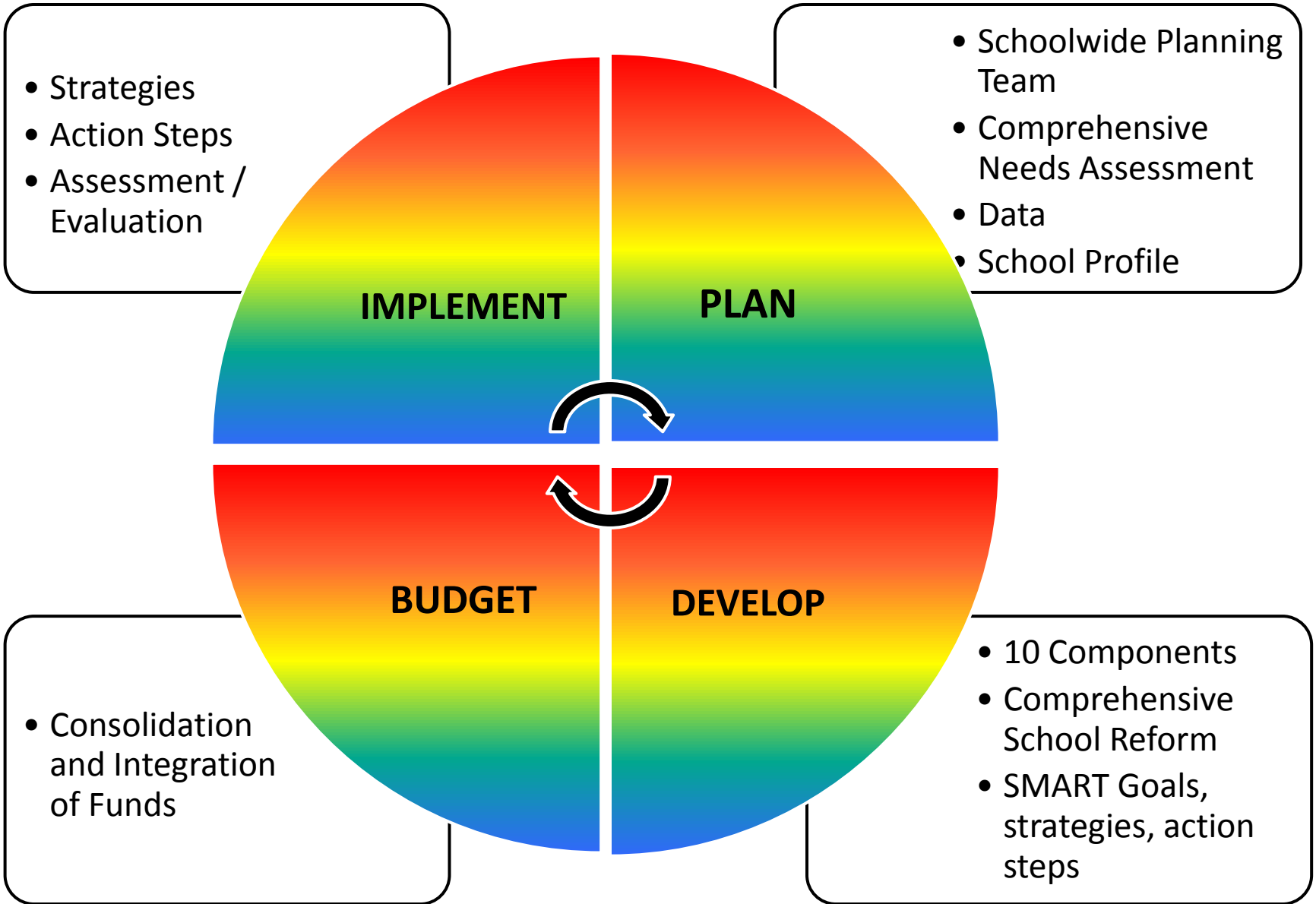
Consolidation of Funds Under SW 3



Consolidation of Funds Under SW 3



Transition to Schoolwide 3



Accountability of Consolidated Funding

Goal	Strategy	How will this meet the SMART goal?	Funding Source	How will this meet the intent of the funding source?
Reading				
Mathematics				
Teacher Effectiveness				
Proficiency For ELL Students				
Attendance and Graduation				
Parental Involvement				

Schoolwide 3 Fiscal Application

1. Identify the Schoolwide 3 Schools in the *ESEA Consolidated Application.*
2. Request the *Schoolwide 3 Fiscal Application* from ADE.
3. Complete the *Schoolwide 3 Fiscal Application* from ADE.
4. Submit *ESEA Consolidated Application* and *Schoolwide 3 Fiscal Application to NCLBConApp@azed.gov along with a cc. to the LEA case manager and erik.francis@azed.gov.*

Meeting the Intent of Consolidated Funds

- All consolidated funding sources must have their intent identified and met in the Schoolwide 3 Plan.
- Show evidence of intent in strategies and/or action steps.
- All services must be provided and target sub-group populations must be addressed if included in SW3 Plan.

Example

Schoolwide Goal

- *80% of students in Grades 3-8 will attain proficiency in reading on the AIMS Reading exam during the 2010-2011 academic year.*

Strategy

- *Implement Reading Across the Curriculum in all core academic classes in grades K-8. (**Title I-A**)*
- *Provide professional development in active reading strategies to all teachers. (Title II)*
- *Provide interventions in English Language Development reading strategies. (Title III)*

Instructions Worksheet

	A	B	C
1	DATE		
2	LEA Name		
3	CTDS		
4	INSTRUCTIONS		
5		Enter the current date, the LEA name, and the LEA's CTDS # above.date of revision/resubmittal.	
6		**REMEMBER! When submitting revised tables, change the date above to NCLBConApp@azed.gov.**	
7			
8	**Schoolwide 3 LEA Worksheet**		
9			**1** List ALL schools in LEA with a Schoolwide 3 Program in Column B.
10			**2** Identify funding sources in C5 - L5.
11			**3** ***Enter allocation from each funding source in red cells.***
12		**All text entries will carry over to the Instructions and Schoolwide 3 Site Spreadsheets.**	
13			
14	**Schoolwide 3 Site Worksheet**		
15	Enter the amount calculated for each funding source into the grants management application.		
16		**All calculations for funding sources will be made automatically.**	
17		COLOR CODES	
18	**1**	***Enter total budget amount***	
19	**2**	**TotalSW3 Funding**	
20	**3**	**Total State and Local Funds**	
21	**4**	**Title I-A Disadvantaged**	
22	**5**	**(Enter Programming Funds)**	
23	**6**	**(Enter Programming Funds)**	
24	**7**	**(Enter Programming Funds)**	
25	**8**	**(Enter Programming Funds)**	
26	**9**	**(Enter Programming Funds)**	

Enter school information here.

Do not enter any information into these cells. The information will enter automatically from the *Schoolwide 3 LEA* worksheet.

These funding sources are preformatted and required for consolidation:
- Total State and Local Funds
- Title I-A Disadvantaged

Instructions | Schoolwide 3 LEA | Schoolwide 3 Site | SW 3 LEA Chart

Schoolwide 3 LEA Worksheet

Date	1/0/00
LEA Name	0
CTDS	0

Information will automatically enter from *Instructions* worksheet

LEA Programs Contributing to the Consolidated Schoolwide Pool

Number of Schoools	List ALL Schools in LEA with a Schoolwide 3 Program	Federal Funds					Other Funding	State and Local			Total SW3 Funding
		Title I-A Disadvantaged	(Enter Programming Funds)	(Enter Programming Funds)	(Enter Programming Funds)	(Enter Programming Funds)	(Enter Programming Funds)	State Soft Capital Funds	State and Local Funds	Total State and Local Funds	
1	School Name									$0.00	$0.00
Total Funds LEA Distributes to Individual Schools		$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
Percent of Total		#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!

For more than 75 schools, please call your specialist for directions.

Enter the name of the SW 3 school.

These cells are preformatted and should not be changed.

Schoolwide 3 LEA Worksheet Example

Date	3/16/11
LEA Name	Strayhand Education
CTDS	123456789

LEA Programs Contributing to the Consolidated Schoolwide Pool											
		Federal Funds					Other Funding	State and Local			
Number of Schoools	List ALL Schools in LEA with a Schoolwide 3 Program	Title I-A Disadvantaged	Title II-A	Title II-D	Title III	Title VI	Private Grant	State Soft Capital Funds	State and Local Funds	Total State and Local Funds	Total SW3 Funding
1	Francis Academy	$100,000.00	$40,000.00	$30,000.00	$20,000.00	$20,000.00	$40,000.00		$1,000,000.00	*$1,000,000.00*	$1,250,000.00
Total Funds LEA Distributes to Individual Schools		$100,000.00	$40,000.00	$30,000.00	$20,000.00	$20,000.00	$40,000.00	$0.00	$1,000,000.00	$1,000,000.00	$1,250,000.00
Percent of Total		8%	3%	2%	2%	2%	3%	0%	80%	80%	100%

Schoolwide 3 Instructions Worksheet Example

DATE	3/16/11
LEA Name	Strayhand Education
CTDS	123456789

INSTRUCTIONS

Enter the current date, the LEA name, and the LEA's CTDS # above.date of revision/resubmittal.

REMEMBER! When submitting revised tables, change the date above to NCLBConApp@azed.gov.

Schoolwide 3 LEA Worksheet

1 List ALL schools in LEA with a Schoolwide 3 Program in Column B.

2 Identify funding sources in C5 - L5.

3 ***Enter allocation from each funding source in red cells.***

All text entries will carry over to the Instructions and Schoolwide 3 Site Spreadsheets.

Schoolwide 3 Site Worksheet

Enter the amount calculated for each funding source into the grants management application.

All calculations for funding sources will be made automatically.

COLOR CODES

1	***Enter total budget amount***
2	**Total SW3 Funding**
3	**Total State and Local Funds**
4	**Title I-A Disadvantaged**
5	**Title II-A**
6	**Title II-D**
7	**Title III**
8	**Title VI**
9	**Private Grant**

Schoolwide 3 Site Worksheet

Date	1/0/00
LEA Name	0
CTDS Number	0

Information will automatically enter from *Instructions* worksheet

School	Source of Funds	SW3 Revenues	Schoolwide 3 Percent of Total Funds	Instruction 1000							
				Salaries 6100	Benefits 6200	Purchased Professional Svcs. 6300	Purchased Property Svcs. 6400	Other Purchased Services 6500	Supplies 6600	Other Expenses 6800	Subtotal
School A	Total SW3 Funding	$0.00	#DIV/0!								$0.00
	Total State and Local Funds	$0.00	#DIV/0!								#DIV/0!
	Title I-A Disadvantaged	$0.00	#DIV/0!								
	(Enter Programming Funds)	$0.00	#DIV/0!								
	(Enter Programming Funds)	$0.00	#DIV/0!								
	(Enter Programming Funds)	$0.00	#DIV/0!								
	(Enter Programming Funds)	$0.00	#DIV/0!								
	(Enter Programming Funds)	$0.00	#DIV/0!								

Enter the budgeted items for the school according to the appropriate USFR item.

These figures will calculate automatically based on the allocations entered in the red cells.

Information will automatically enter from Schoolwide LEA Worksheet.

Schoolwide 3 Site Worksheet Example

Date	3/16/11
LEA Name	Strayhand Education
Date	123456789

				Instruction 1000							
School	Source of Funds	SW 3 Revenues	Schoolwide 3 Percent of Total Funds	Salaries 6100	Benefits 6200	Purchased Professional Svcs. 6300	Purchased Property Svcs. 6400	Other Purchased Services 6500	Supplies 6600	Other Expenses 6800	Subtotal
Francis Academy	Total SW3 Funding	$1,250,000.00	100%	$500,000.00	$100,000.00				$10,000.00		$610,000.00
	Total State and Local Funds	$1,000,000.00	80%	$400,000.00	$80,000.00	$0.00	$0.00	$0.00	$8,000.00	$0.00	$488,000.00
	Title I-A Disadvantaged	$100,000.00	8%	$40,000.00	$8,000.00	$0.00	$0.00	$0.00	$800.00	$0.00	$48,800.00
	Title II-A	$40,000.00	3%	$16,000.00	$3,200.00	$0.00	$0.00	$0.00	$320.00	$0.00	$19,520.00
	Title II-D	$30,000.00	2%	$12,000.00	$2,400.00	$0.00	$0.00	$0.00	$240.00	$0.00	$14,640.00
	Title III	$20,000.00	2%	$8,000.00	$1,600.00	$0.00	$0.00	$0.00	$160.00	$0.00	$9,760.00
	Title VI	$20,000.00	2%	$8,000.00	$1,600.00	$0.00	$0.00	$0.00	$160.00	$0.00	$9,760.00
	Private Grant	$40,000.00	3%	$16,000.00	$3,200.00	$0.00	$0.00	$0.00	$320.00	$0.00	$19,520.00

Schoolwide 3 Site Worksheet Example

Support Services 2100							
Salaries 6100	Benefits 6200	Purchased Professional Svcs. 6300	Purchased Property Svcs. 6400	Other Purchased Services 6500	Supplies 6600	Other Expenses 6800	Subtotal
$50,000.00	**$10,000.00**	**$10,000.00**		**$5,000.00**	**$7,500.00**		**$82,500.00**
$40,000.00	$8,000.00	$8,000.00	$0.00	$4,000.00	$6,000.00	$0.00	**$66,000.00**
$4,000.00	$800.00	$800.00	$0.00	$400.00	$600.00	$0.00	**$6,600.00**
$1,600.00	$320.00	$320.00	$0.00	$160.00	$240.00	$0.00	**$2,640.00**
$1,200.00	$240.00	$240.00	$0.00	$120.00	$180.00	$0.00	**$1,980.00**
$800.00	$160.00	$160.00	$0.00	$80.00	$120.00	$0.00	**$1,320.00**
$800.00	$160.00	$160.00	$0.00	$80.00	$120.00	$0.00	**$1,320.00**
$1,600.00	$320.00	$320.00	$0.00	$160.00	$240.00	$0.00	**$2,640.00**

Schoolwide 3 Site Worksheet Example

Support Services Admin 2300								Capital Outlay	Balance
Salaries 6100	Benefits 6200	Purchased Professional Svcs. 6300	Purchased Property Svcs. 6400	Other Purchased Services 6500	Supplies 6600	Other Expenses 6800	Subtotal	Property	
$120,000.00	**$24,000.00**	**$10,000.00**	**$5,000.00**		**$7,500.00**		**$166,500.00**	**$391,000.00**	**$0.00**
$96,000.00	$19,200.00	$8,000.00	$4,000.00	$0.00	$6,000.00	$0.00	**$133,200.00**	$312,800.00	**$0.00**
$9,600.00	$1,920.00	$800.00	$400.00	$0.00	$600.00	$0.00	**$13,320.00**	$31,280.00	**$0.00**
$3,840.00	$768.00	$320.00	$160.00	$0.00	$240.00	$0.00	**$5,328.00**	$12,512.00	**$0.00**
$2,880.00	$576.00	$240.00	$120.00	$0.00	$180.00	$0.00	**$3,996.00**	$9,384.00	**$0.00**
$1,920.00	$384.00	$160.00	$80.00	$0.00	$120.00	$0.00	**$2,664.00**	$6,256.00	**$0.00**
$1,920.00	$384.00	$160.00	$80.00	$0.00	$120.00	$0.00	**$2,664.00**	$6,256.00	**$0.00**
$3,840.00	$768.00	$320.00	$160.00	$0.00	$240.00	$0.00	**$5,328.00**	$12,512.00	**$0.00**

Schoolwide 3 Expenditure Worksheet

Support Services Admin 2300								Capital Outlay	Balance
Salaries 6100	Benefits 6200	Purchased Professional Svcs. 6300	Purchased Property Svcs. 6400	Other Purchased Services 6500	Supplies 6600	Other Expenses 6800	Subtotal	Property	
$120,000.00	**$24,000.00**	**$10,000.00**	**$5,000.00**		**$7,500.00**		**$166,500.00**	**$300,000.00**	**$91,000.00**
$96,000.00	$19,200.00	$8,000.00	$4,000.00	$0.00	$6,000.00	$0.00	**$133,200.00**	$240,000.00	**$72,800.00**
$9,600.00	$1,920.00	$800.00	$400.00	$0.00	$600.00	$0.00	**$13,320.00**	$24,000.00	**$7,280.00**
$3,840.00	$768.00	$320.00	$160.00	$0.00	$240.00	$0.00	**$5,328.00**	$9,600.00	**$2,912.00**
$2,880.00	$576.00	$240.00	$120.00	$0.00	$180.00	$0.00	**$3,996.00**	$7,200.00	**$2,184.00**
$1,920.00	$384.00	$160.00	$80.00	$0.00	$120.00	$0.00	**$2,664.00**	$4,800.00	**$1,456.00**
$1,920.00	$384.00	$160.00	$80.00	$0.00	$120.00	$0.00	**$2,664.00**	$4,800.00	**$1,456.00**
$3,840.00	$768.00	$320.00	$160.00	$0.00	$240.00	$0.00	**$5,328.00**	$9,600.00	**$2,912.00**

1. Enter the amount the school actually spent for the school year to support its SW3 Plan.
2. The balance column lists the total carryover of the school for the current academic year.
3. Balance rows break down the carryover to report back to each consolidated funding source.

Schoolwide 3 Site Worksheet
Over budget

Support Services Admin 2300								Capital Outlay	Balance
Salaries 6100	Benefits 6200	Purchased Professional Svcs. 6300	Purchased Property Svcs. 6400	Other Purchased Services 6500	Supplies 6600	Other Expenses 6800	Subtotal	Property	
$120,000.00	**$24,000.00**	**$10,000.00**	**$5,000.00**		**$7,500.00**		**$166,500.00**	**$400,000.00**	**($9,000.00)**
$96,000.00	$19,200.00	$8,000.00	$4,000.00	$0.00	$6,000.00	$0.00	**$133,200.00**	$320,000.00	**($7,200.00)**
$9,600.00	$1,920.00	$800.00	$400.00	$0.00	$600.00	$0.00	**$13,320.00**	$32,000.00	**($720.00)**
$3,840.00	$768.00	$320.00	$160.00	$0.00	$240.00	$0.00	**$5,328.00**	$12,800.00	**($288.00)**
$2,880.00	$576.00	$240.00	$120.00	$0.00	$180.00	$0.00	**$3,996.00**	$9,600.00	**($216.00)**
$1,920.00	$384.00	$160.00	$80.00	$0.00	$120.00	$0.00	**$2,664.00**	$6,400.00	**($144.00)**
$1,920.00	$384.00	$160.00	$80.00	$0.00	$120.00	$0.00	**$2,664.00**	$6,400.00	**($144.00)**
$3,840.00	$768.00	$320.00	$160.00	$0.00	$240.00	$0.00	**$5,328.00**	$12,800.00	**($288.00)**

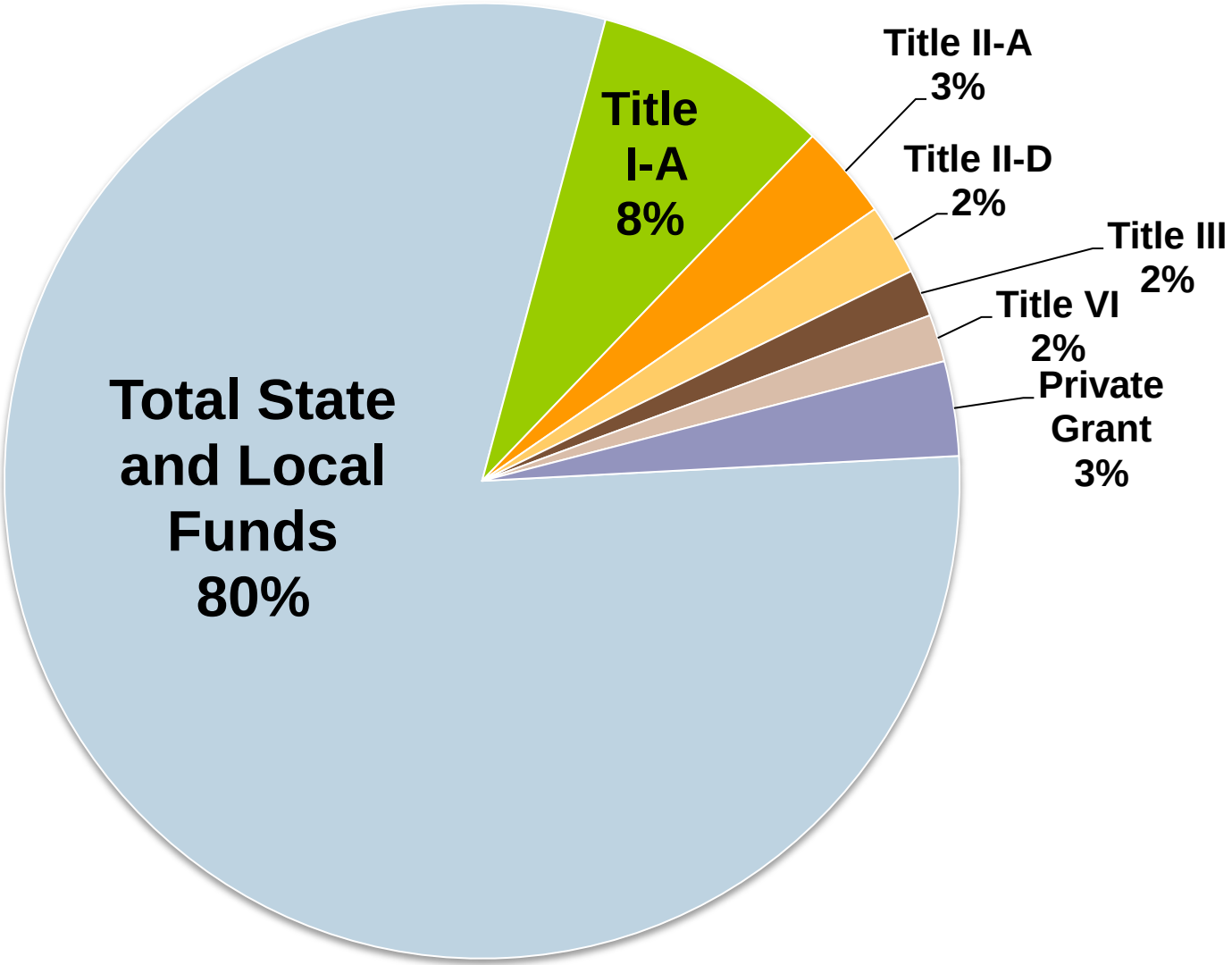
If the figure in the balance column has a figure grater than $0.00 printed in **(red parenthesis)**, adjust the amounts in the red cells. **Do not touch the preformatted cells.**

Schoolwide 3 Site Worksheet
Under budget

Support Services Admin 2300								Capital Outlay	Balance
Salaries 6100	Benefits 6200	Purchased Professional Svcs. 6300	Purchased Property Svcs. 6400	Other Purchased Services 6500	Supplies 6600	Other Expenses 6800	Subtotal	Property	
$120,000.00	**$24,000.00**	**$10,000.00**	**$5,000.00**		**$7,500.00**		**$166,500.00**	**$300,000.00**	**$91,000.00**
$96,000.00	$19,200.00	$8,000.00	$4,000.00	$0.00	$6,000.00	$0.00	**$133,200.00**	$240,000.00	**$72,800.00**
$9,600.00	$1,920.00	$800.00	$400.00	$0.00	$600.00	$0.00	**$13,320.00**	$24,000.00	**$7,280.00**
$3,840.00	$768.00	$320.00	$160.00	$0.00	$240.00	$0.00	**$5,328.00**	$9,600.00	**$2,912.00**
$2,880.00	$576.00	$240.00	$120.00	$0.00	$180.00	$0.00	**$3,996.00**	$7,200.00	**$2,184.00**
$1,920.00	$384.00	$160.00	$80.00	$0.00	$120.00	$0.00	**$2,664.00**	$4,800.00	**$1,456.00**
$1,920.00	$384.00	$160.00	$80.00	$0.00	$120.00	$0.00	**$2,664.00**	$4,800.00	**$1,456.00**
$3,840.00	$768.00	$320.00	$160.00	$0.00	$240.00	$0.00	**$5,328.00**	$9,600.00	**$2,912.00**

If the figure in the balance column has a figure greater than $0.00 printed in **bold black** adjust the amounts in the red cells. **Do not touch the preformatted cells.**

Schoolwide 3 LEA Chart



Schoolwide 3 Expenditures Worksheet

School	Source of Funds	SW 3 Revenues	Schoolwide 3 Percent of Total Funds	Carryover
School A	**Total SW3 Funding**	**$1,250,000.00**	**100%**	**$245,500.00**
	Total State and Local Funds	$1,000,000.00	80%	**$196,400.00**
	Title I-A Disadvantaged	$100,000.00	8%	**$19,640.00**
	Title II-A	$40,000.00	3%	**$7,856.00**
	Title II-D	$30,000.00	2%	**$5,892.00**
	Title III	$20,000.00	2%	**$3,928.00**
	Title VI	$20,000.00	2%	**$3,928.00**
	Private Grant	$40,000.00	3%	**$7,856.00**

Worksheet is similar to the Schoolwide 3 Site worksheet.
Enter real budget costs and expenses.
Final column will calculate carryover and break down by funding source based upon SW3 Plan percentage.

FOR MORE INFORMATION

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